

### Hilbert's 14<sup>th</sup>

- $x \leftrightarrow y \rightsquigarrow p(x, y) \xrightarrow{\sigma} p(y, x)$  transformation of  $R[x, y]$ .  
 $R[x, y]^{\sigma} := \{p(x, y) \mid p = \sigma p\}$   
 $p(x, y) = p(y, x)$

Claim:  $R[x, y]^{\sigma} = R[\underbrace{x+y}_{s}, \underbrace{xy}_{P}]$

Clearly  $p(x+y, xy)$  is symmetric in  $x, y$

$$(x+y)^4 + (xy)^2 = x^4 + 4x^3y + 6x^2y^2 + 4xy^3 + y^4 + x^2y + xy^2$$

Converse also holds:

$$\begin{aligned} \text{e.g. } x^5 + y^5 + x^3y + xy^3 &= (x+y)(x^4 - x^3y + x^2y^2 - xy^3 + y^4) \\ &\quad + xy(x^2 + y^2) \\ &= (x+y)((x+y^2)^2 - xy(x^2y^2 - xy)) \\ &= (x+y)((x+y)^2 - 2xy)^2 - xy((xy)^2 - xy) \\ &= s((s^2 - 2P)^2 - P(s^2 - P)) \end{aligned}$$

- $x \rightarrow x+ny \quad p(x, y) \xrightarrow{\sigma} p(x+ny, y)$   
 $y \rightarrow y$

$$R[x, y]^{\sigma} = R[y]$$

$p(x+ny, y) = p(x, y) + n \Rightarrow$  same for every homogeneous component

wlog  $p$  homogeneous degree  $m$

$$\frac{p(x+ny, y)}{y^m} = \frac{p(x, y)}{y^m} \Rightarrow g(\frac{x+ny}{y}) = g(\frac{x}{y}) + n$$

$\therefore$  complex root  $\Rightarrow z_0 + mn$  root  $\Leftrightarrow g$  has  $\infty$  many roots

$$A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

$$ad - bc \neq 0$$

$A$  invertible

$$A^{-1} = \frac{1}{(ad - bc)} \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}$$

$$\begin{aligned} x &\rightarrow ax + by \\ y &\rightarrow cx + dy \end{aligned} \rightsquigarrow p(x, y) \xrightarrow{\sigma} p(ax+by, cx+dy)$$

reversible transformation of  $R[x, y]$ .

Hilbert 14 for  $\mathbb{R}$ : Let  $G \subset GL_n(\mathbb{R})$  subset

$$\mathbb{R}[x_1, \dots, x_n]^G := \{ P(x_1, \dots, x_n) \mid \tau P = P \text{ for all } \tau \in G \},$$

invariant polynomials

Does there exist some  $p_1, \dots, p_m \in \mathbb{R}[x_1, \dots, x_n]^G$

such that every  $G$ -invariant  $P$  can be expressed polynomially in terms of  $p_1, \dots, p_m$ ?

If  $\mathbb{R}[x_1, \dots, x_n]^G$  always finitely generated as  $\mathbb{R}$ -algebra?

Reality check: Not all subsets of  $\mathbb{R}[x_1, \dots, x_n]$  that are closed under products, sums, and scaling by real constants are finitely generated

$$\text{Ex: } \mathbb{R}[xy, xy^2, xy^3, \dots] \subseteq \mathbb{R}[x, y]$$

Positive examples

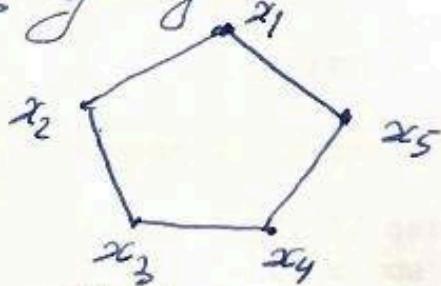
$$1) S_n = \text{permutations of } x_1, \dots, x_n$$

$$\mathbb{R}^G = \mathbb{R}[x_1, \dots, x_n, \sum_{i,j} x_i x_j, \sum_{\substack{i,j,k \\ \text{cycle}}} x_i x_j x_k, \dots, x_1 \dots x_n]$$

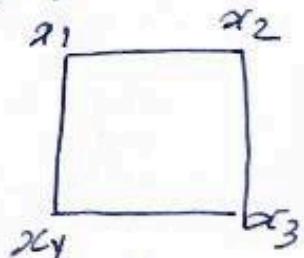
$n$  elementary symmetric polynomials

$$2) D_n = \text{symmetries of regular } n\text{-gon}$$

$5\text{-gon}$



5 rotations, 5 reflections



4 rotations,  
4 reflections

$$R_4^{D_4} = \mathbb{R}[x_1 + x_2 + x_3 + x_4, x_1 x_3 + x_2 x_4, x_1 x_2 + x_2 x_3 + x_3 x_4 + x_4 x_1, x_1^2 + x_2^2 + x_3^2 + x_4^2]$$

 Chevalley: For finite groups acting on  $\mathbb{R}^n$  by reflections, got a polynomial ring. Grading is different from usual polynomial ring.

4) (Noether)  $G$  finite group, then  $R^G$  finitely generated  
Proof is not constructive.

$$5) GL_n = G \quad R^G = R$$

$$6) SL_n = G \quad R^G = \bigoplus_{n \geq 2} p(x, u)$$

$$SL_2 \text{ on } k[x, y] \quad p(x, y) = p(rx, \frac{1}{r}y) \quad \forall r \in \mathbb{R}^*$$

$$\{(b\delta)/n \in \mathbb{N}\} \subset \frac{x^n}{y} \xrightarrow{y \neq 0} x + ny$$

$SL_n$  has interesting action on  $R_{n^2}$ ,

$$R_{n^2}^{SL_n} = R[\det]$$

$$6) \mathbb{C}^* = SL_1 \quad R_n^{\mathbb{C}^*} = R$$

7) (Reductive groups) can break apart  $(R_n)_m = \text{homogeneous}$   
degs in polys in  $k[x_1, \dots, x_n]$  into invariant subspaces that cannot  
be further broken apart.

Ex:  $GL_n, SL_n, (\mathbb{R}^*)^n$ , finite groups

Ex  $R \cong \left\{ \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} \mid a \in \mathbb{R} \right\}$  acts on  $\mathbb{R}^2$

The only proper invariant subspace:  $\left\{ \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix} \mid u, v \in \mathbb{R} \right\} = \left\{ \begin{pmatrix} u \\ 0 \end{pmatrix} \mid u \in \mathbb{R} \right\}$

So  $(R, +)$  is not reductive.

Counterexamples exist

• Nagata  $(\mathbb{R}^{13}, +)$

• Mukai  $(\mathbb{C}^3, +)$

• Totaro  $(\mathbb{R}^3, +) \subset \mathbb{R}^{18}$

$$\mathbb{R}^4 \subset \mathbb{R}^{16}$$

$$\mathbb{R}^6 \subset \mathbb{R}^{18}$$

Counter examples of Totaro have the following flavor  
(Mukai)

$$n \geq r \geq 3 \\ G = (\mathbb{R}^{n-3}, 0) \subseteq \mathbb{R}^n$$

$$\mathbb{R}^n \text{ acts on } \mathbb{R}^n \text{ by } (t_{r_1}, \dots, t_{r_n})(x_1, \dots, x_n, y_1, \dots, y_n) \\ \rightarrow (x_1, \dots, x_n, y_1 + t_1 x_1, \dots, y_n + t_n x_n)$$

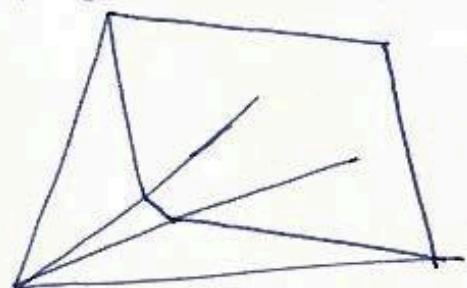
$\mathbb{R}^n$  are transformations of  $k(x_1, \dots, x_n, y_1, \dots, y_n) = \mathbb{R}_{\geq n}$

Using algebraic geometry, Mukai showed

$\mathbb{R}_{\geq n}^G$  is graded by  $\mathbb{Z}^{n+1}$ .

If  $\mathbb{R}_{\geq n}^G$  is finitely generated, then

$(a_0, \dots, a_n) \in \mathbb{Z}^n$  s.t.  $(\mathbb{R}_{\geq n}^G)_{(a_0, \dots, a_n)} \neq 0$  would generate a polyhedral cone in  $\mathbb{R}^{n+1}$



← finitely many "corners", flat otherwise.

But when  $\frac{1}{2} + \frac{1}{r} + \frac{1}{nr} \leq 1$ , can get infinitely many corners or maybe roundness.