

# Part I: Box-ball systems and Robinson–Schensted–Knuth tableaux

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Lewis and Clark College

Mathematical Sciences Department Colloquium

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# Solitary waves (solitons)

Scott Russell's first encounter of solitary waves at the Union Canal:

'I was observing the motion of a boat which was rapidly drawn along a narrow channel by a pair of horses, when the boat suddenly stopped—not so the mass of water in the channel which it had put in motion; it accumulated round the prow of the vessel in a state of violent agitation, then suddenly leaving it behind, rolled forward with great velocity, assuming the form of a large solitary elevation, a rounded, smooth and well-defined heap of water, which continued its course along the channel apparently **without change of form or diminution of speed**. I followed it on horseback, and overtook it still rolling on at a rate of some eight or nine miles an hour, preserving its original figure some thirty feet long and a foot to a foot and a half in height. Its height gradually diminished, and after a chase of one or two miles I lost it in the windings of the channel. Such, in the month of August 1834, was my first chance interview with that singular and beautiful phenomenon which I have called the Wave of Translation.'



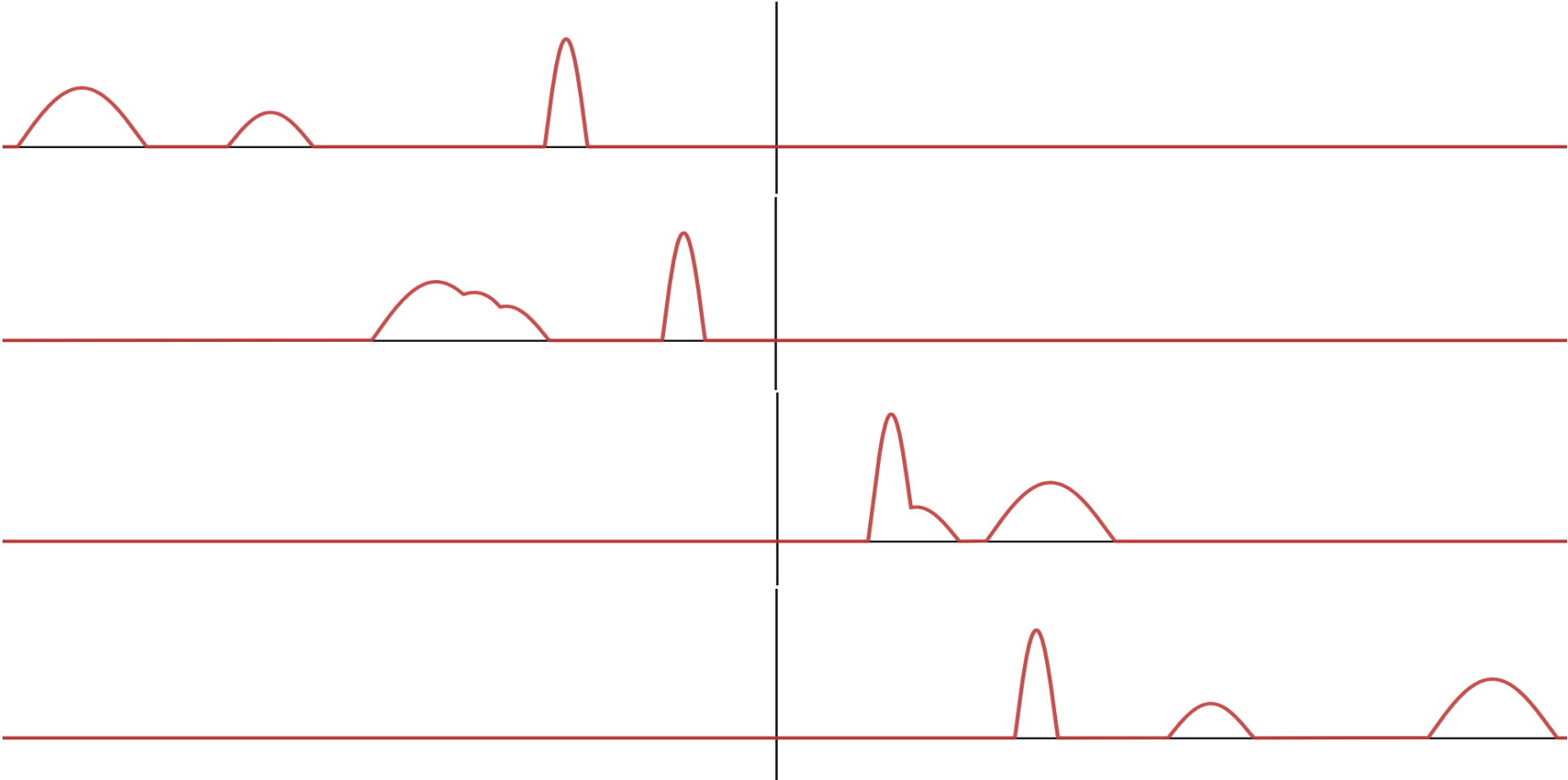
Soliton on the Scott Russell Aqueduct on the Union Canal near Heriot-Watt University, July 1995

Credit:

[ma.hw.ac.uk/solitons/press.html](http://ma.hw.ac.uk/solitons/press.html)

# Solitary waves

(Desmos link by D. Zeng)



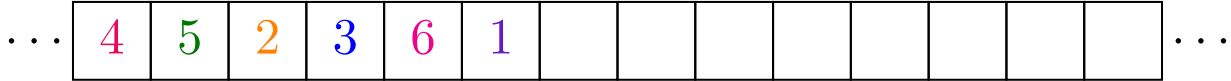
# Multicolor box-ball system (BBS), Takahashi 1993

A *box-ball system* (BBS) is a dynamical system of BBS configurations.

- ▶ At each configuration, balls are labeled by numbers 1 through  $n$  in an infinite strip of boxes.
- ▶ Each box can fit at most one ball.

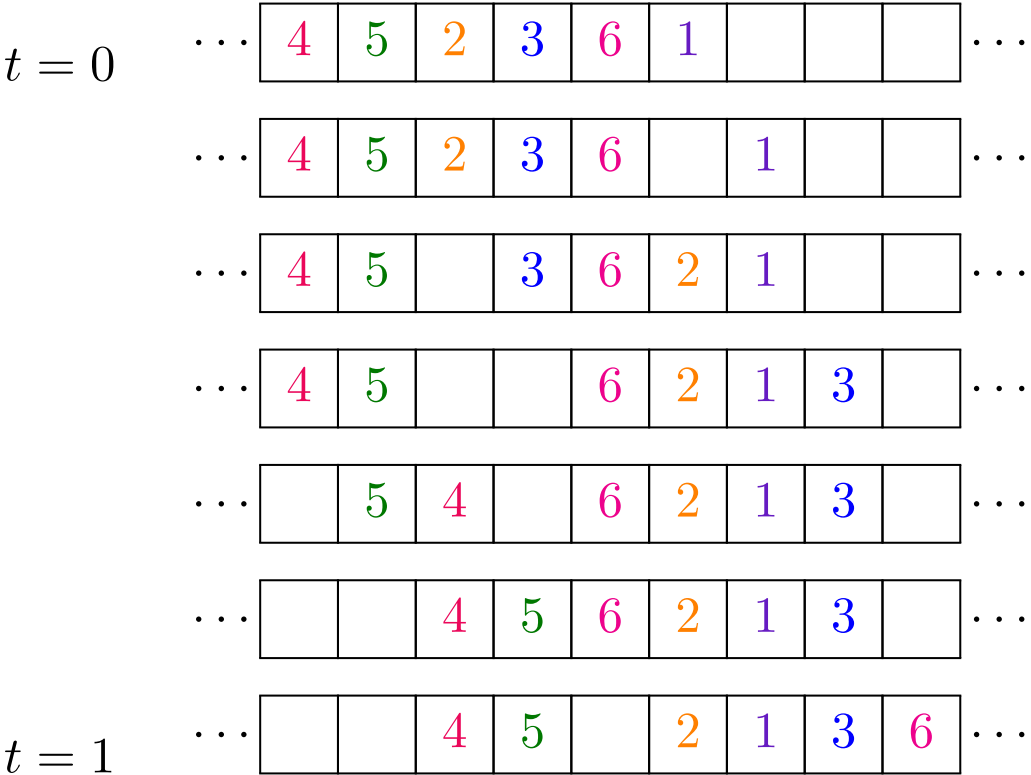
## Example

A possible BBS configuration:

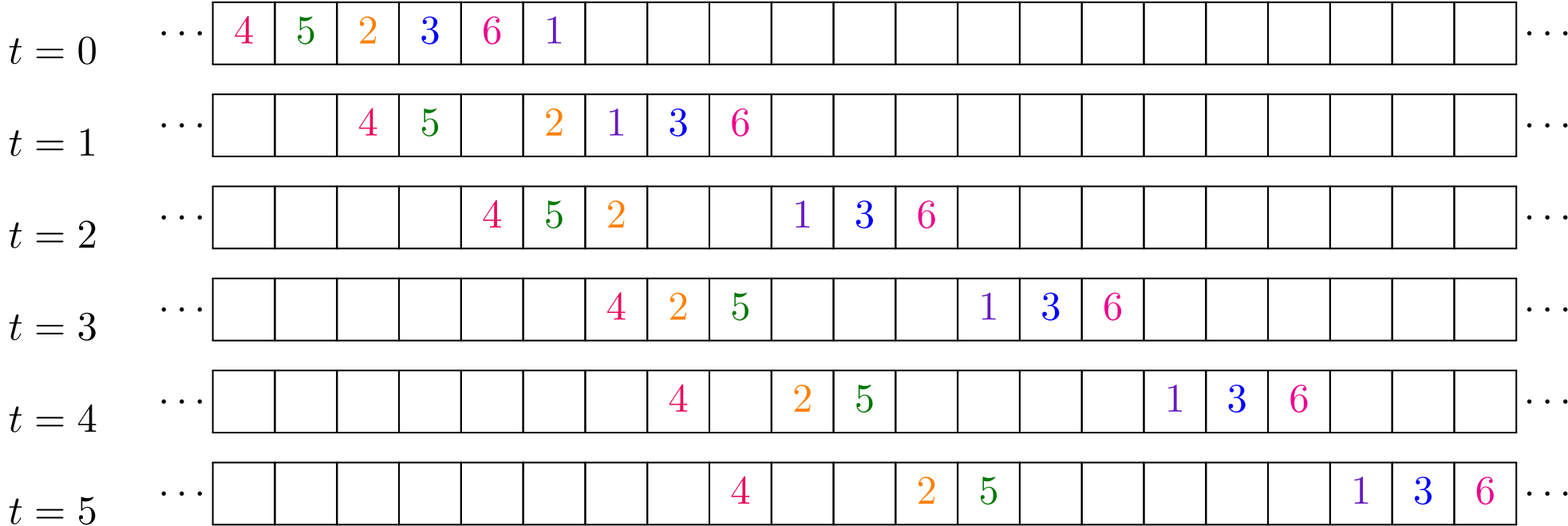


# Box-ball move (from $t = 0$ to $t = 1$ )

Balls take turns jumping to the first empty box to the right, starting with the smallest-numbered ball.



# Box-ball moves ( $t = 0$ through $t = 5$ )



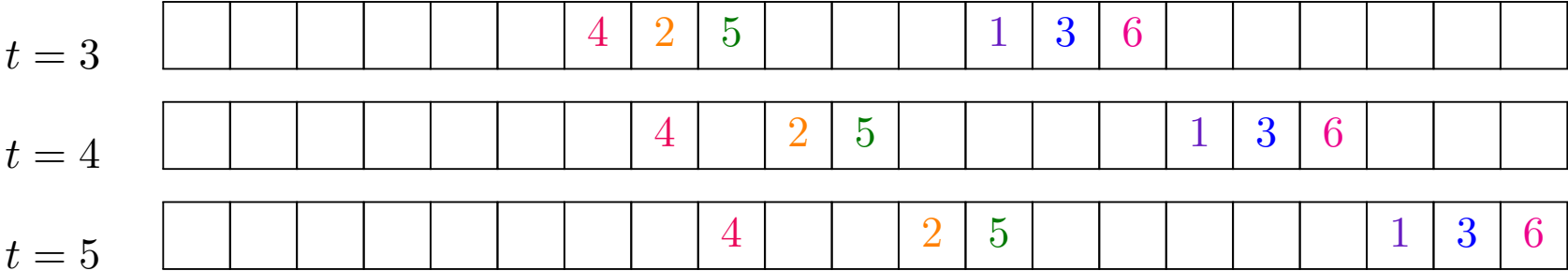
# Solitons and steady state

## Definition

A *soliton* of a box-ball system is an increasing run of balls that moves at a speed equal to its length and is preserved by all future BBS moves.

## Example

The strings 4, 25, and 136 are solitons:



After a finite number of BBS moves, the system reaches a *steady state* where:

- ▶ the system is decomposed into solitons, i.e., each ball belongs to one soliton
- ▶ the lengths of the solitons are weakly decreasing from right to left

# Tableaux (English notation)

## Definition

- ▶ A *tableau* is an arrangement of numbers  $\{1, 2, \dots, n\}$  into rows whose lengths are weakly decreasing.
- ▶ A tableau is *standard* if its rows and columns are increasing.

## Example

Standard Tableaux:

1	2	4
3	5	
6	7	

1	3	6
2	5	
4		

1	3	4
2	7	
5	8	
6		

Nonstandard Tableau:

1	2	3
5	6	7
4		



# Soliton decomposition

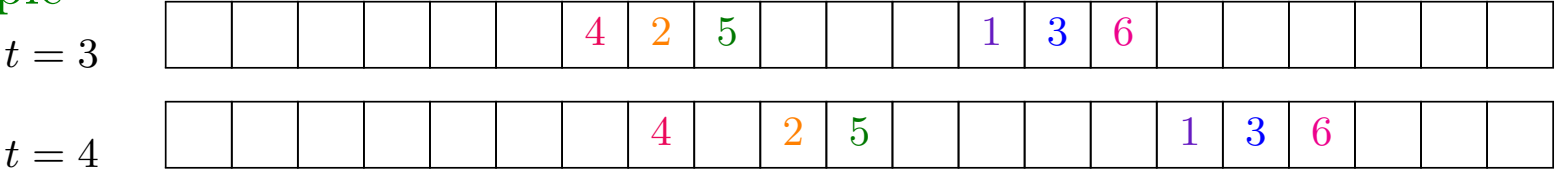
## Definition

- ▶ Let  $S_n$  be the symmetric group on  $n$  elements. Represent permutations of  $S_n$  in *one-line notation* as

$$w = w(1)w(2) \cdots w(n), \text{ e.g. } w = 452361.$$

- ▶ To construct *soliton decomposition*  $SD(w)$  of  $w$ , start with the one-line notation of  $w$ , and run BBS moves until we reach a steady state; the 1st row of  $SD(w)$  is the rightmost soliton, the 2nd row of  $SD(w)$  is the next rightmost soliton, and so on.

## Example



$$SD(452361) = \begin{array}{|c|c|c|} \hline 1 & 3 & 6 \\ \hline 2 & 5 & \\ \hline 4 & & \\ \hline \end{array} \text{ with shape } (3, 2, 1).$$

# RSK bijection

The Robinson–Schensted–Knuth (RSK) insertion algorithm is a bijection

$$w \mapsto (P(w), Q(w))$$

from  $S_n$  onto pairs of size- $n$  standard tableaux of equal shape.

## Example

Let  $w = \mathbf{452361}$ . Then  $P(w) =$ 

1	3	6
2	5	
4		

 and  $Q(w) =$ 

1	2	5
3	4	
6		

.

# RSK bijection example

Let  $w = 452361$ .

P :	<b>4</b>	4	<b>5</b>	<b>2</b>	5	<b>2</b>	<b>3</b>	<b>2</b>	<b>3</b>	<b>6</b>	<b>1</b>	<b>3</b>	<b>6</b>	P(w) =	<table style="border-collapse: collapse; text-align: center;"> <tr><td style="border: 1px solid black; padding: 2px 10px;">1</td><td style="border: 1px solid black; padding: 2px 10px;">3</td><td style="border: 1px solid black; padding: 2px 10px;">6</td></tr> <tr><td style="border: 1px solid black; padding: 2px 10px;">2</td><td style="border: 1px solid black; padding: 2px 10px;">5</td><td></td></tr> <tr><td style="border: 1px solid black; padding: 2px 10px;">4</td><td></td><td></td></tr> </table>	1	3	6	2	5		4		
1	3	6																						
2	5																							
4																								

Q :	<b>1</b>	1	<b>2</b>	<b>1</b>	2	<b>1</b>	2	<b>1</b>	2	<b>5</b>	<b>1</b>	<b>2</b>	<b>5</b>	Q(w) =	<table style="border-collapse: collapse; text-align: center;"> <tr><td style="border: 1px solid black; padding: 2px 10px;">1</td><td style="border: 1px solid black; padding: 2px 10px;">2</td><td style="border: 1px solid black; padding: 2px 10px;">5</td></tr> <tr><td style="border: 1px solid black; padding: 2px 10px;">3</td><td style="border: 1px solid black; padding: 2px 10px;">4</td><td></td></tr> <tr><td style="border: 1px solid black; padding: 2px 10px;">6</td><td></td><td></td></tr> </table>	1	2	5	3	4		6		
1	2	5																						
3	4																							
6																								

## Insertion and bumping rule for P

- ▶ Insert  $x$  into the first row of P.
- ▶ If  $x$  is larger than every element in the first row, add  $x$  to the end of the first row.
- ▶ If not, replace the smallest number larger than  $x$  in row 1 with  $x$ . Insert this number into the row below following the same rules.

## Recording rule for Q

For Q, insert  $1, \dots, n$  in order so that the shape of Q at each step matches the shape of P.

# The $Q$ tableau determines the dynamics of a box-ball system

## Theorem (SUMRY 2021)

If  $Q(v) = Q(w)$ , then the box-ball systems of  $v$  and  $w$  are identical if we ignore the ball labels, in particular:

- ▶  $v$  and  $w$  first reach steady state at the same time, and
- ▶ the soliton decompositions of  $v$  and  $w$  have the same shape

## Example

$$v = 21435 \text{ and } w = 31425$$

$$Q(v) = Q(w) = \begin{array}{|c|c|c|} \hline 1 & 3 & 5 \\ \hline 2 & 4 & \\ \hline \end{array}$$

Both  $v$  and  $w$  first reach steady state at  $t = 1$ .

$$SD(v) = \begin{array}{|c|c|c|} \hline 1 & 3 & 5 \\ \hline 4 & & \\ \hline 2 & & \\ \hline \end{array} \quad SD(w) = \begin{array}{|c|c|c|} \hline 1 & 2 & 5 \\ \hline 4 & & \\ \hline 3 & & \\ \hline \end{array}$$

## Questions (steady-state time)

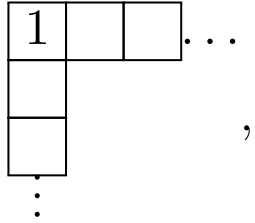
The time when a permutation  $w$  first reaches steady state is called the *steady-state time* of  $w$ .

- ▶ Given a Q-tableau, find a formula to compute the steady-state time for all permutations in the Q-tableau class.
- ▶ Find an upper bound for steady-state time.

# L-shaped soliton decompositions

## Theorem (SUMRY 2021)

If a permutation has an L-shaped soliton decomposition  $SD =$

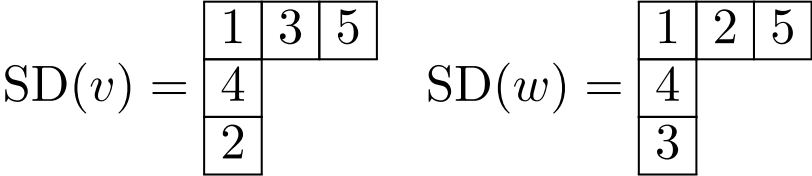


then its steady-state time is either  $t = 0$  or  $t = 1$ .

## Example

Such permutations include noncrossing involutions and column reading words of standard tableaux.

Both  $v = 21435$  and  $w = 31425$  have steady-state time  $t = 1$ .



$v = 21435 = (12)(34)$  and  $w = 31425$  is the column reading word of 

1	2	5
3	4	

.

# Maximum steady-state time

## Theorem (UConn 2020)

If  $n \geq 5$  and

$$Q(w) = \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & 4 \\ \hline n & \\ \hline \end{array} \dots \begin{array}{|c|c|} \hline n-2 & n-1 \\ \hline \end{array},$$

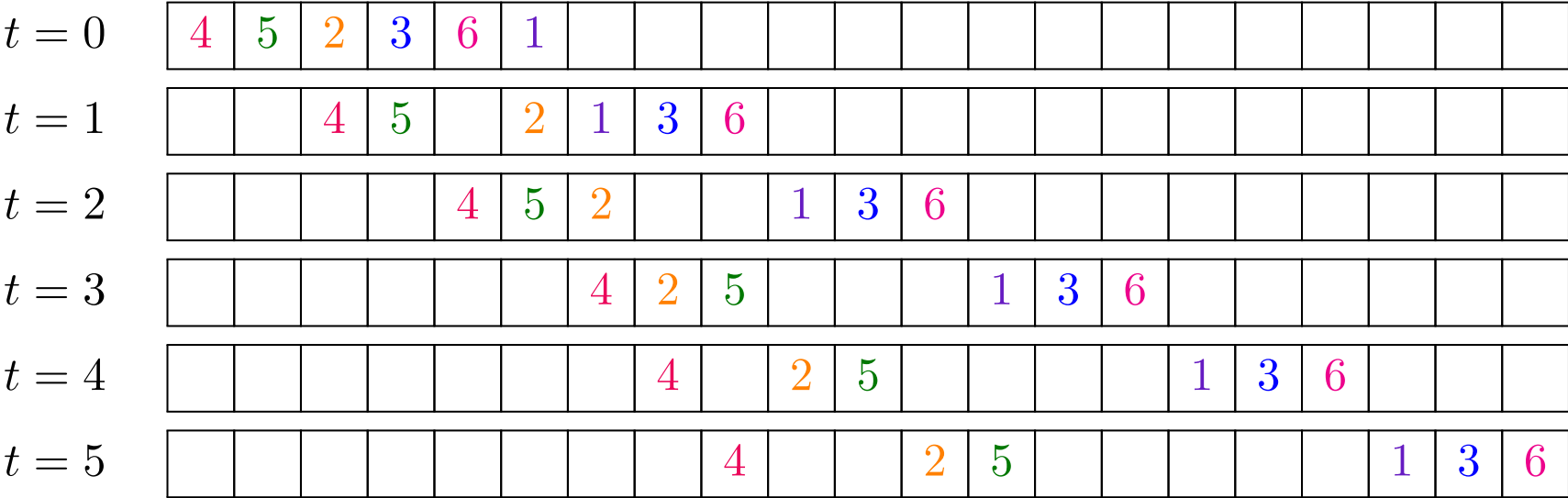
then the steady-state time of  $w$  is  $n - 3$ .

## Conjecture

For  $n \geq 4$ , the steady-state time of a permutation in  $S_n$  is at most  $n - 3$ .

# Box-Ball System Example ( $t = 0$ through 5)

Let  $w = 452361$ . Then  $Q(w) = \begin{array}{|c|c|c|} \hline 1 & 2 & 5 \\ \hline 3 & 4 & \\ \hline 6 & & \\ \hline \end{array}$  and the steady-state time of  $w$  is  $3 = n - 3$ .





## Questions (soliton decomposition)

- ▶ When is the soliton decomposition SD a standard tableau?
- ▶ Can we classify permutations with standard SD using pattern avoidance?
- ▶ Classify the permutations with the same soliton decompositions

# When is $SD(w)$ a standard tableau?

## Example

$$SD(452361) = \begin{array}{|c|c|c|} \hline 1 & 3 & 6 \\ \hline 2 & 5 & \\ \hline 4 & & \\ \hline \end{array}$$

$$SD(21435) = \begin{array}{|c|c|c|} \hline 1 & 3 & 5 \\ \hline 4 & & \\ \hline 2 & & \\ \hline \end{array}$$

$$SD(31425) = \begin{array}{|c|c|c|} \hline 1 & 2 & 5 \\ \hline 4 & & \\ \hline 3 & & \\ \hline \end{array}$$

## Theorem (UConn 2020 + D. Grinberg)

Given a permutation  $w$ , the following are equivalent:

1.  $SD(w)$  is standard
2.  $SD(w) = P(w)$
3. the shape of  $SD(w)$  is equal to the shape of  $P(w)$

## Definition

We say that a permutation  $w$  is *BBS-good* (or “*good*” for short) if the tableau  $SD(w)$  is standard.

$Q(w)$  determines whether  $w$  is good

### Proposition

Given a standard tableau  $T$ , either

$SD(w)$  is standard for all  $w$  such that  $Q(w) = T$ ,

or

$SD(w)$  is not standard for all  $w$  such that  $Q(w) = T$ .

### Definition (good tableaux)

A standard tableau  $T$  is *good* if each permutation whose  $Q$  tableau equals  $T$  is good.

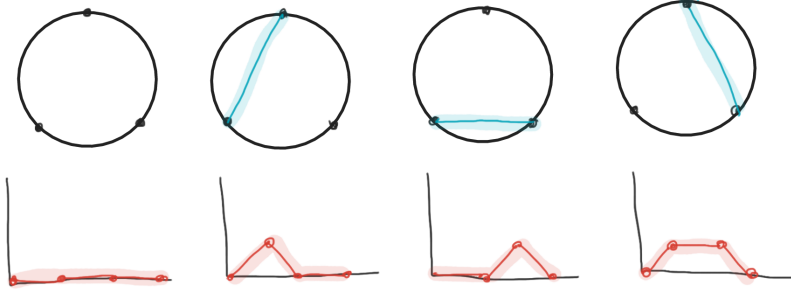
► Question: How many good tableaux are there?

# Answer: Good tableaux are counted by the Motzkin numbers!

Work in preparation (SUMRY 2022)

The good standard tableaux,  $\{Q(w) \mid w \in S_n \text{ and } SD(w) \text{ is standard}\}$ , are counted by the Motzkin numbers:

$$M_0 = 1, \quad M_n = M_{n-1} + \sum_{i=0}^{n-2} M_i M_{n-2-i}$$



$n = 3$

The first few Motzkin numbers are 1, 1, 2, 4, 9, 21, 51, 127, 323, 835.

## Future: Characterize good permutations using pattern avoidance

(skipped slide)

A pattern  $v$  is a *consecutive pattern* of a permutation  $w$  if  $w$  has a consecutive subsequence whose elements are in the same relative order as  $v$ . Otherwise,  $w$  *avoids*  $v$ .

- ▶  $w = 314\mathbf{5926}87$  contains  $v = 2413$  because the subsequence 5926 is ordered in the same way as 2413
- ▶  $w = 314592687$  avoids  $v = 321$  because 314592687 has no consecutive subsequence ordered in the same way as 321.  
(Remark: 314592687 contains a non-consecutive subsequence with pattern 321. What is this subsequence?)

Further question: Come up with a statement “a permutation is good iff it avoids the consecutive patterns ...”

# Knuth moves

(skipped slide)

- ▶ A *Knuth move* between two  $v, w \in S_n$  is the act of swapping consecutive entries

$yxz$  and  $yzx$  (Knuth move of *the first kind*) or

$xzy$  and  $zxy$  (Knuth move of *the second kind*)

where  $x < y < z$ , or

$y_1xz y_2$  and  $y_1zxy_2$  (Knuth move of *both kinds* ( $K_B$ ))

where  $x < y_1, y_2 < z$ .

- ▶ We say  $v$  and  $w$  are *Knuth equivalent* if they differ by a sequence of Knuth moves.

## Example

$$326514 \sim^{K_2} 326154$$

$$326154 \sim^{K_1} 362154$$

$$362154 \sim^{K_B} 362514$$

# $P$ -tableaux and Knuth moves

(skipped slide)

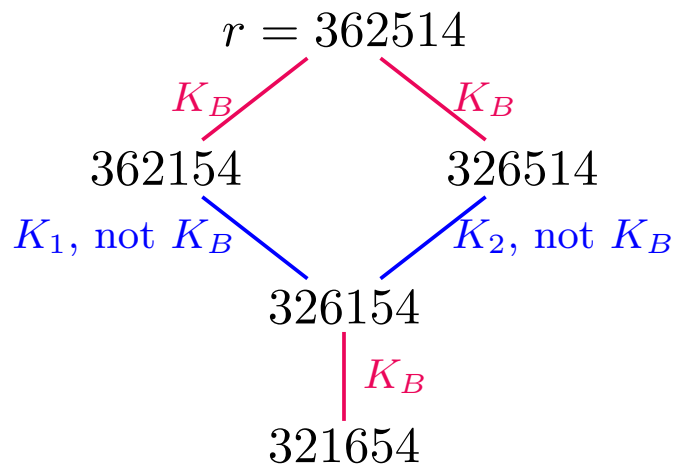
Theorem (Knuth, 1970)

- ▶ *There is a path of Knuth moves from  $w$  to the row reading word of  $P(w)$ .*
- ▶ *Two permutations have the same  $P$  tableau iff they are in the same Knuth equivalence class.*

## Example

The Knuth equivalence class of the row reading word  $r = 362514$  of

1	4
2	5
3	6

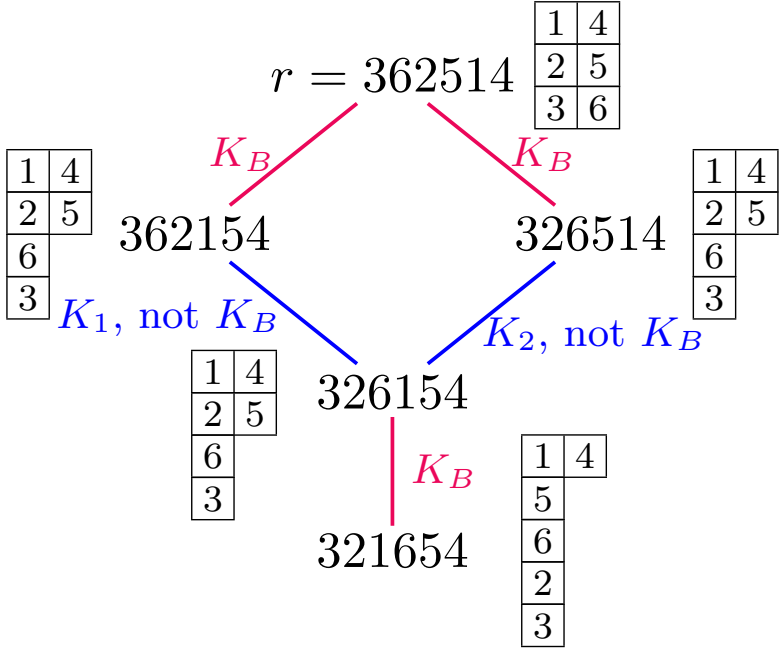


# Future: Classify permutations with the same soliton decomposition

Partial Result (UConn 2020): The soliton decomposition is preserved by non- $K_B$  Knuth moves, but one  $K_B$  move changes the soliton decomposition.

## Example

Soliton decompositions of the Knuth equivalence class of 362154:

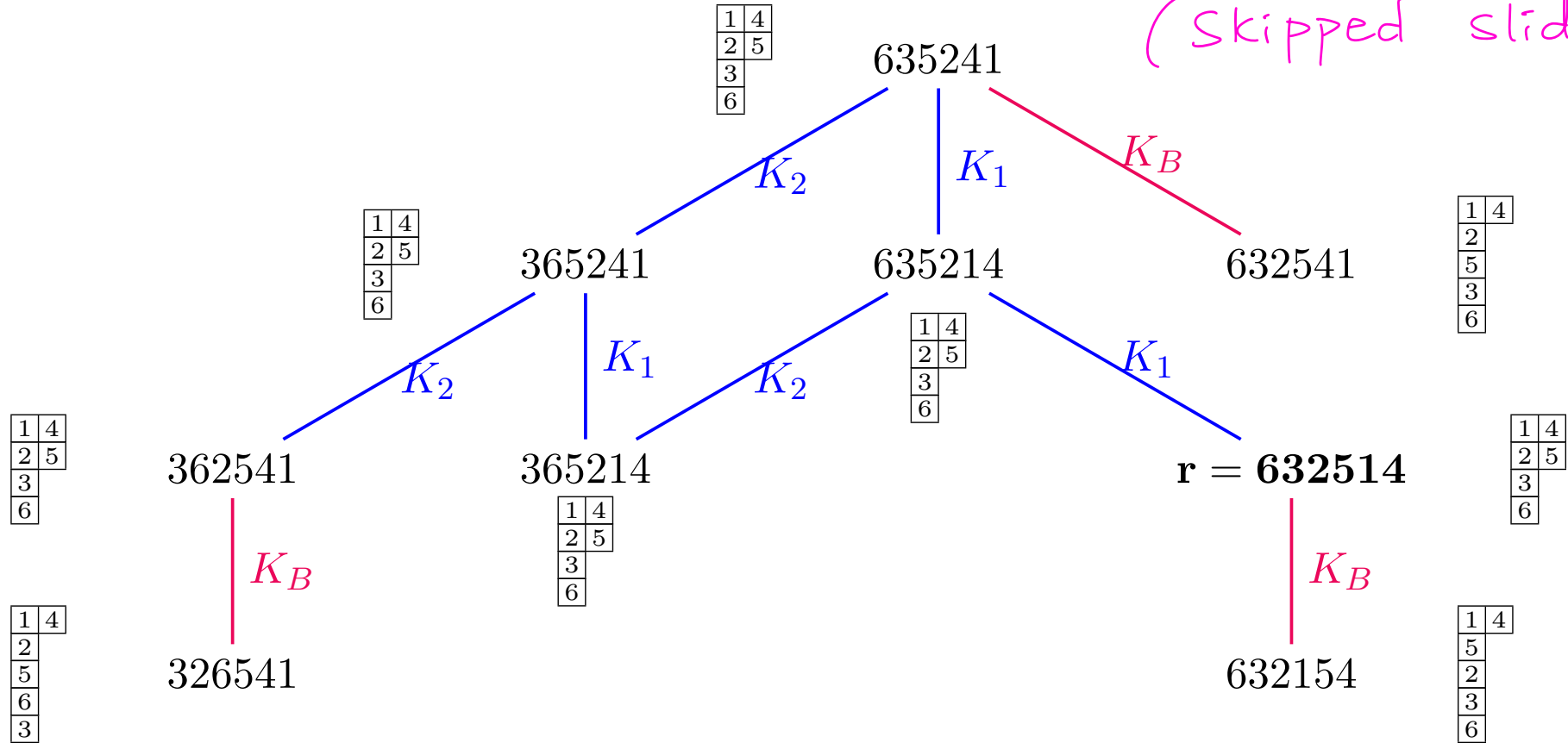


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Question: Classify permutations with the same soliton decomposition

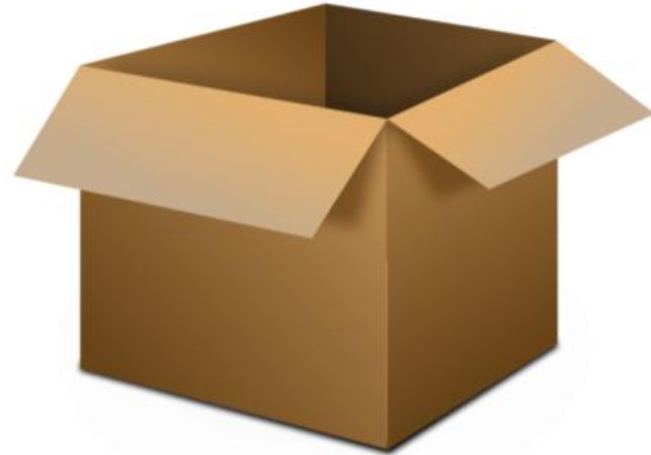
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The Knuth equivalence class of  $r = 632514$ , with their soliton decompositions

The end of part I

<i>Y</i>	<i>O</i>	<i>U</i>	!
<i>A</i>	<i>N</i>	<i>K</i>	
<i>T</i>	<i>H</i>		



## Part II: Algebraic combinatorics inspired by Catalan objects

Emily Gunawan, University of Oklahoma,

joint with G. Muller (on cluster algebras, 2020–present),

E. Barnard, E. Meehan, R. Schiffler (on type A quivers and Cambrian posets, 2018–2021),

E. Barnard, R. Coelho Simões, R. Schiffler (on more general quivers, 2021–present)

Lewis and Clark College

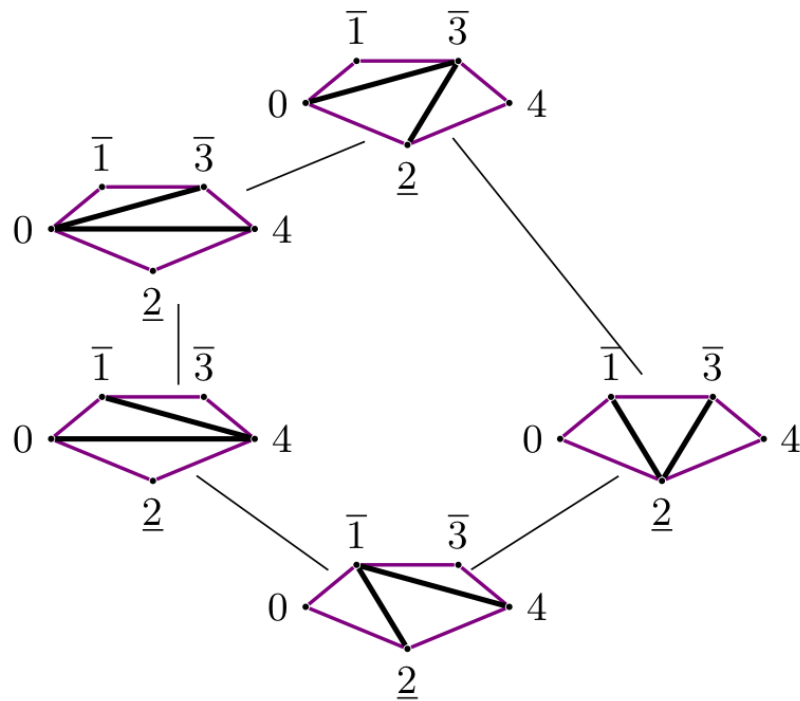
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Inspiration: Type A Cambrian poset (Björner–Wachs 1997, Reading 2004)

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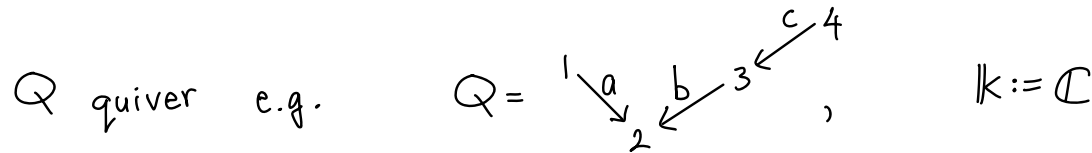
Def

The  $n$ -th Catalan number is the number of triangulations of an  $(n+2)$ -gon

Catalan objects are objects that are counted by the Catalan numbers.

Type  $A_2$  Tamari poset (a special case of Cambrian posets)

# Path algebra $\vdots$



Def The path algebra  $\mathbb{k}Q$

- basis: {all paths in  $Q$ , including the lazy path  $e_i$  at each vertex  $i$ } =  $\left\{ \begin{array}{l} e_1, a, \\ e_2, b, e_3, \\ cb, c, e_4 \end{array} \right\}$
- multiplication on two basis elements:  $pp' = \begin{cases} pp' & \text{if } pp' \text{ is a path} \\ 0 & \text{otherwise} \end{cases}$  Concatenation

$\mathbb{k}Q \cong$  algebra of matrices of the form  $\begin{bmatrix} \lambda_{e_1} & \lambda_a & 0 & 0 \\ 0 & \lambda_{e_2} & 0 & 0 \\ 0 & \lambda_b & \lambda_{e_3} & 0 \\ 0 & \lambda_{cb} & \lambda_c & \lambda_{e_4} \end{bmatrix}$ .

each  $\lambda_p \in \mathbb{k}$  is the coefficient of the path  $p$ .

Entry in row  $i$ , col  $j \iff$  path from vertex  $i$  to vertex  $j$ .

E.g.  $\begin{array}{c} \begin{matrix} & & 3 & \\ \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & \lambda_c & 0 \end{bmatrix} & \begin{matrix} 2 \\ \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & \lambda_b & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} & = & \begin{matrix} 2 \\ \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & \lambda_{cb} & 0 & 0 \end{bmatrix} \end{matrix} \\ \text{path } c & \text{path } b & & \text{path } cb \end{array} \quad \text{and} \quad \begin{array}{c} \begin{matrix} 2 \\ \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & \lambda_b & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} & \begin{matrix} 3 \\ \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & \lambda_c & 0 \end{bmatrix} & = & \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \\ \text{path } b & \text{path } c & & 0 \end{array}$

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## Modules of the path algebra $kQ$

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A module over an algebra is a generalization of vector space

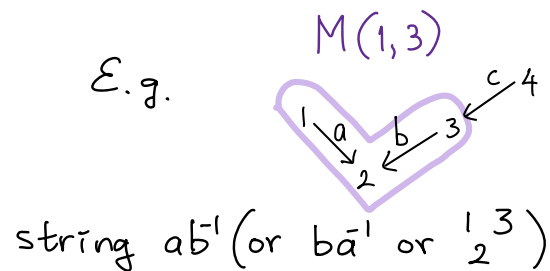
- addition
- Instead of multiplication by a scalar (a number in  $\mathbb{R}$  or  $\mathbb{C}$ ), multiply by an element of the algebra (e.g.  $\mathbb{Z}$  or  $kQ$ )

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In type A ...

"Indecomposable" modules of the path algebra  $kQ$

$\longleftrightarrow$  intervals  $M(i, j)$ ,  $i \leq j$  called "strings"

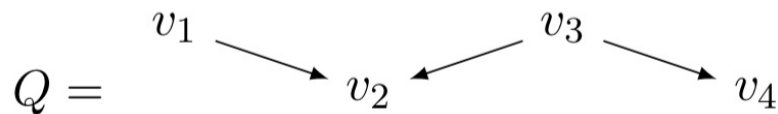


# The Auslander-Reiten quiver

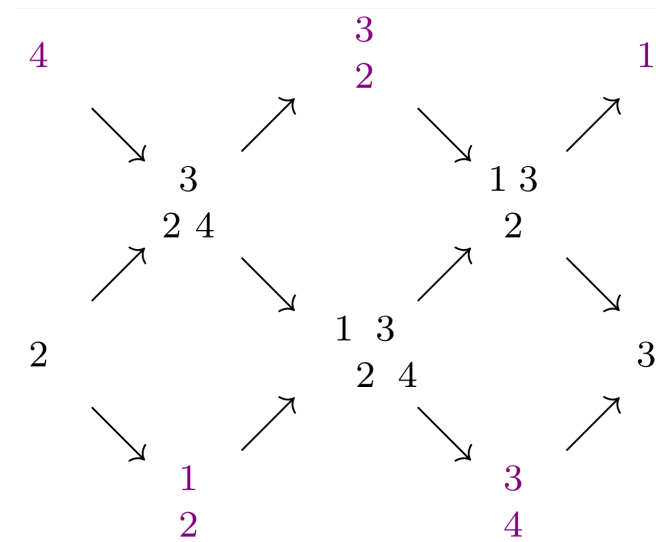
The Auslander-Reiten quiver of  $Q$  is a directed graph  $\Gamma_Q$  with

vertices: indecomposable modules

arrows: "irreducible morphisms"



Auslander-Reiten quiver  $\Gamma_Q$  of  $Q$ :

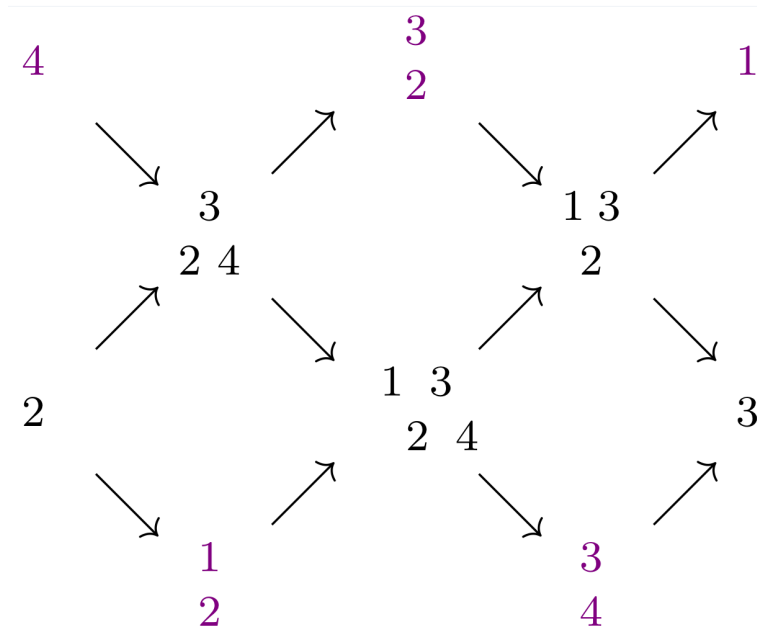
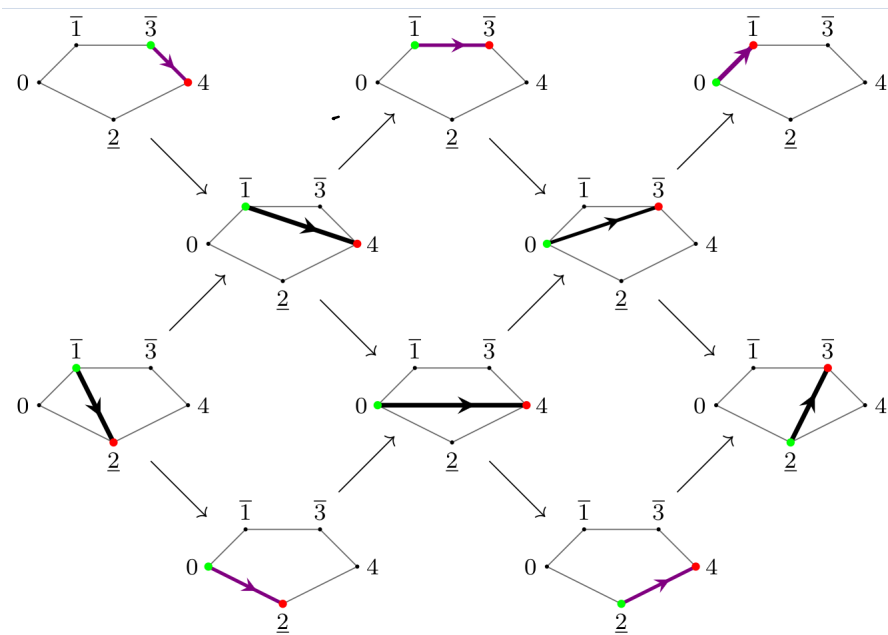


Barnard — G. — Meehan — Schiffler, 2019 [BGMS 19]

A model for  $\text{ind } Q$  inspired by the Cambrian posets (for type A)

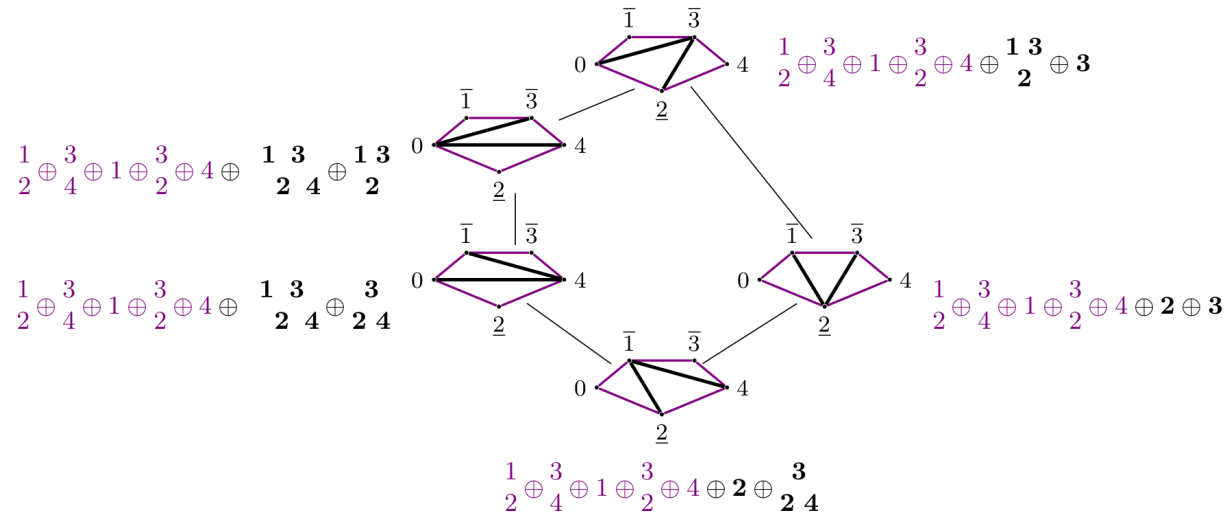
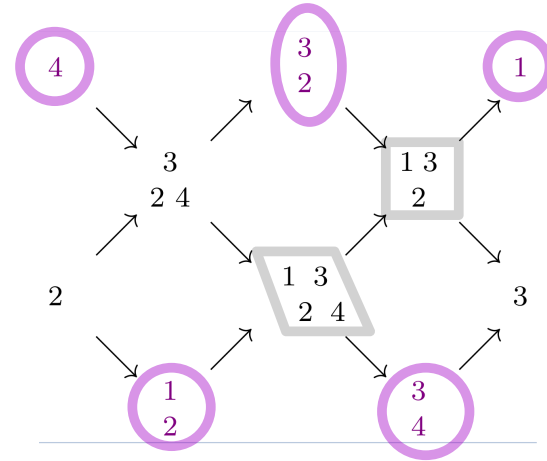
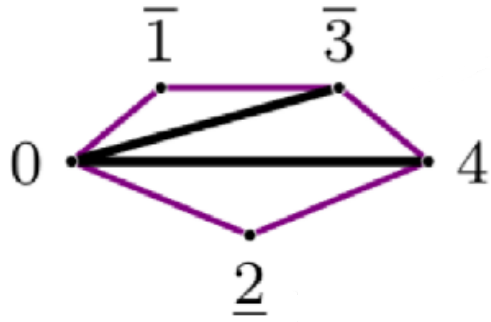
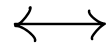
$\left\{ \begin{array}{l} \text{Line segments } \gamma(i, j), 0 \leq i < j \leq n+1 \\ \text{(including boundary segments)} \end{array} \right\} \longleftrightarrow \text{indecomposable modules } M(i+1, j)$

Moving one endpoint counterclockwise  $\longleftrightarrow$  irreducible morphisms





# Triangulations



# A new class of modules

$kQ$  : path algebra of type A

Classical def  $T \in \text{mod}(kQ)$  is maximal rigid if

(T1)  $T$  has  $|Q_0|$  non-isomorphic summands  
# of vertices of  $Q$

(T2) For each pair  $A, B$  of summands of  $T$ ,  
if  $0 \rightarrow B \rightarrow E \rightarrow A \rightarrow 0$  is a short exact sequence, then  $E \cong B \oplus A$  } called rigid

Def [BGMS 19]

$T \in \text{mod}(kQ)$  is maximal almost rigid (mar) if

(M1)  $T$  has  $|Q_0| + |Q_1|$  non-isomorphic summands  
# of vertices of  $Q$  # of arrows of  $Q$

(M2) For each pair  $A, B$  of summands of  $T$ ,  
if  $0 \rightarrow B \rightarrow E \rightarrow A \rightarrow 0$  is a short exact sequence,  
then  $E \cong B \oplus A$  or  $E$  is indecomposable } called almost rigid

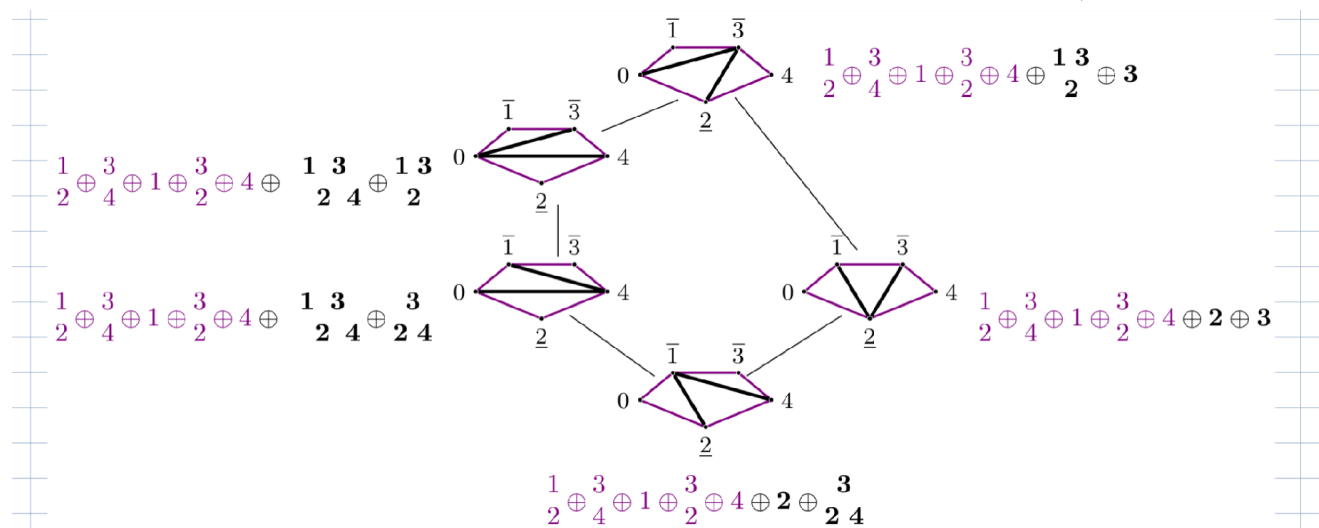
Rem (M1) can be replaced with:

" $T$  is maximal with respect to (M2)"

Thm [BGMS 19]  $\left\{ \begin{array}{l} \text{triangulations of } P(Q) \\ \text{including boundary edges} \end{array} \right\} \leftrightarrow \left\{ \begin{array}{l} \text{mar modules} \\ \text{mar}(kQ) \end{array} \right\}$

Corollary The mar modules (type A) are Catalan objects ☺

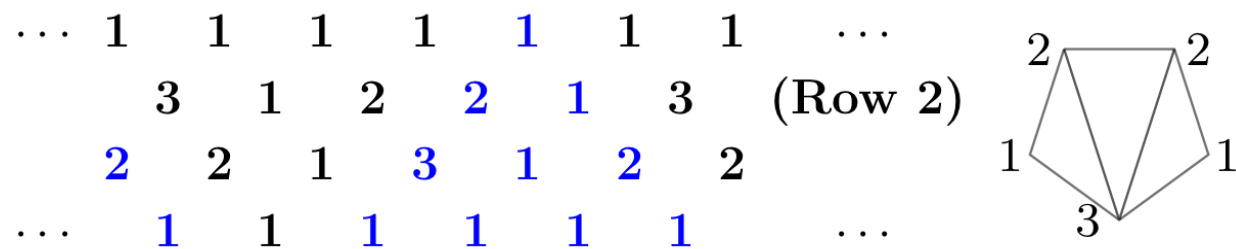
There is a natural Cambrian poset structure we can put on the mar modules



Current & future work [With E. Barnard, R. Coelho Simões, R. Schiffler 2021 - now]

Tell a similar story about mar modules for "gentle algebras", "string algebras", and more.

# Conway—Coxeter frieze pattern (1970s)



rules:

- each diamond  $\begin{matrix} b \\ a & d \\ c \end{matrix}$  satisfies  $ad - bc = 1$
- only positive integers are allowed

\* Conway—Coxeter frieze patterns are Catalan objects!

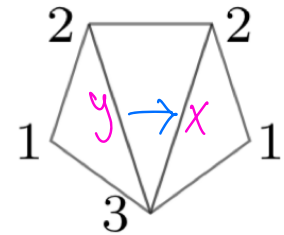
Why?

2nd row of a frieze pattern with  $n$  non-trivial rows  $\longleftrightarrow$  triangulation of an  $(n+3)$ -gon

# Fomin-Zelevinsky cluster algebras (2001)

\* Replace the non-trivial integers with Laurent polynomials:

$$\begin{array}{cccccccc}
 \dots & 1 & 1 & 1 & 1 & 1 & \dots & \\
 \frac{x+y+1}{xy} & x & \frac{y+1}{x} & \frac{x+1}{y} & y & \frac{x+y+1}{xy} & & \\
 \dots & \frac{x+1}{y} & y & \frac{x+y+1}{xy} & x & \frac{y+1}{x} & \dots & \\
 1 & 1 & 1 & 1 & 1 & 1 & 1 & 
 \end{array}$$



\* The diamond rule still holds, e.g.  $\frac{x+1}{y} \cdot y - x \cdot 1 = 1$

\* These five Laurent polynomials are called cluster variables.

\* "Def"

← Dynkin diagram of type  $A_2$ :  $\bullet \text{---} \bullet$

The type  $A_2$  cluster algebra is the subring of the field of rational functions  $\mathbb{Z}(x, y)$  generated by these five cluster variables

(skipped slide)

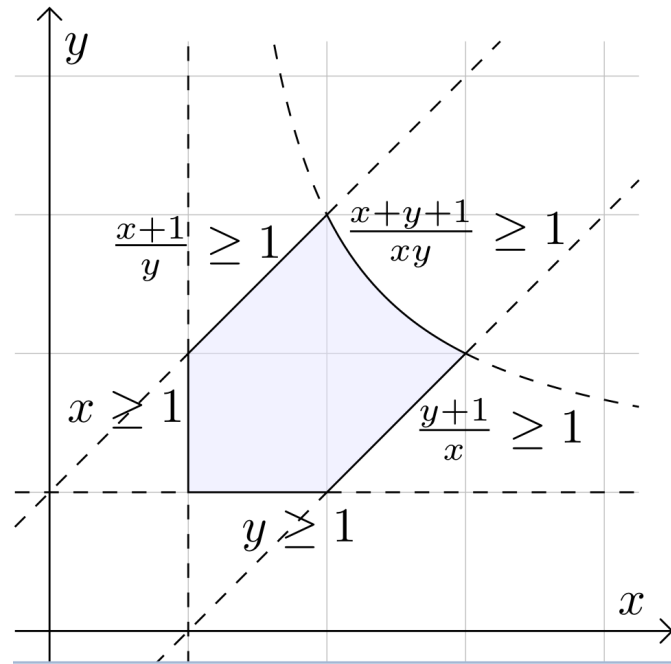
"Def" [G.-Muller 2022]

The superunitary region of the  $A_2$  cluster algebra, embedded in  $\mathbb{R}^2$

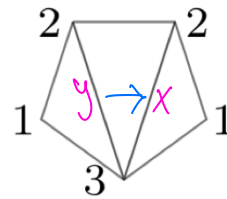
The five cluster variables,

each set to  $\geq 1$ :

- $x$
- $y$
- $\frac{1+x}{y}$
- $\frac{y+1+x}{xy}$
- $\frac{1+y}{x}$



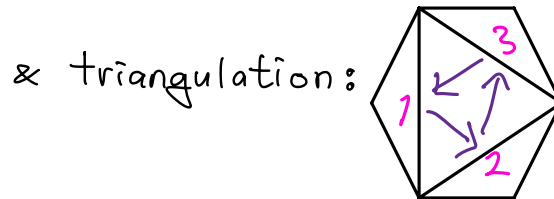
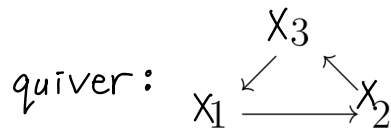
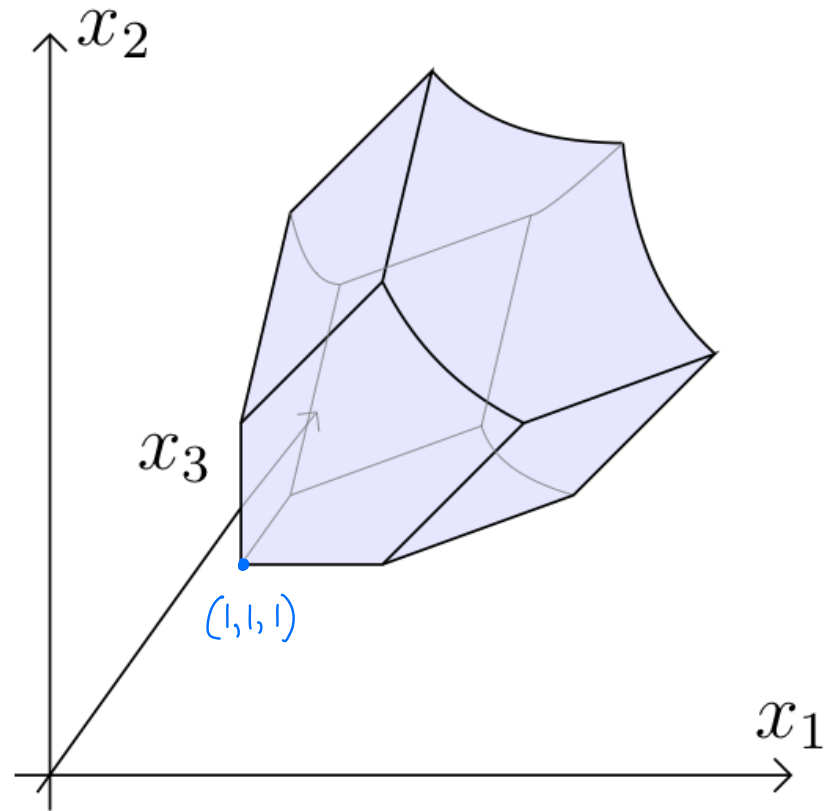
quiver:  $x \rightarrow y$  & triangulation:



The superunitary region of the  $A_3$  cluster algebra, embedded in  $\mathbb{R}^3$

The nine cluster variables,  
each set to  $\geq 1$ :

$$\begin{array}{ccc}
 x_1 \geq 1 & x_2 \geq 1 & x_3 \geq 1 \\
 \frac{x_2+x_3}{x_1} \geq 1 & \frac{x_1+x_3}{x_2} \geq 1 & \frac{x_1+x_2}{x_3} \geq 1 \\
 \frac{x_1+x_2+x_3}{x_1x_2} \geq 1 & \frac{x_1+x_2+x_3}{x_2x_3} \geq 1 & \frac{x_1+x_2+x_3}{x_1x_3} \geq 1
 \end{array}$$



Note:  $\Delta$  Dynkin diagram  $\longleftrightarrow$  cluster algebra  $\mathcal{A}$   
ABCDEF G of finite type  $\Delta$  [Fomin-Zelevinsky 2001]

Thm [G.-Muller 2022]

The superunitary region of a finite type cluster algebra is a topological polytope with the same face structure as the associahedron

Future work:

- \* Prove that the superunitary region is contained in the convex hull of its extreme points
- \* Study the superunitary regions (no longer bounded) of infinite type cluster algebras
- \* We used this theorem to give a uniform proof of a conjecture that there are finitely many positive integral friezes of type ABCDEF G. Can we apply it to other questions?



