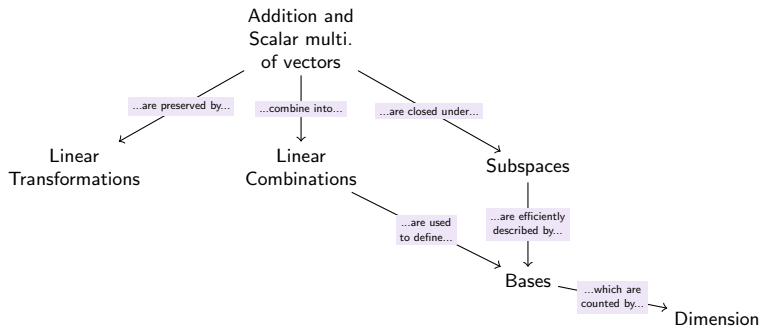


Sec 4.1 Vector spaces and subspaces

- Observation 1: Many linear algebra concepts can be defined in terms of addition and scalar multiplication



- Observation 2: Addition and scalar multiplication make sense for many other mathematical objects.

Examples

- Polynomials $(1 + x^2) + (7 - 3x + x^3)$ $4(1 + 3x + 4x^2)$
- Real-valued functions of x $\sin(x) + e^x$ $4 \ln(x)$

Vector space

Goals: Generalize what we've learned so far about vectors to other kinds of objects we can add and scalar multiply.

Definition: A vector space

A vector space is a set V in which

- there is a rule to *add* any two elements v, w in V , and
- there is a rule to *multiply* any v in V by any *scalar* r in $\mathbb{R}$,

such that the axioms on the next slide hold.

Intuitively, a vector space is a set of mathematical objects which collectively *behave like a set of vectors*.

Possibly confusing terminology

- Elements of a vector space may not be vectors in $\mathbb{R}^n$
- Some textbooks (like ours) use 'vector' to refer to any element of a vector space.

Axioms for vector space

Axioms (essential properties) of addition

- $u + v = v + u$ for all u, v in V .
- $(u + v) + w = u + (v + w)$ for all u, v, w in V .
- There is an element 0 in V , such that for all v in V ,
$$v + 0 = 0 + v = v$$

- For each v in V , there exists $-v$ in V with
$$v + (-v) = (-v) + v = 0$$

Axioms (essential properties) of scalar multiplication

- $r(u + v) = ru + rv$ for all u, v in V and any r in $\mathbb{R}$.
- $(r + s)v = rv + sv$ for all v in V and any r, s in $\mathbb{R}$.
- $r(sv) = (rs)v$ for all v in V and any r, s in $\mathbb{R}$.
- $1v = v$ for all v in V .

An **axiom** is a fact that can't be reduced to a simpler property.

Two examples of vector spaces: $\mathbb{R}^n$ and $\mathbb{P}$

The set of vectors of height n is a vector space!

Fact (The motivating examples of vector spaces)

For each positive integer n , the set $\mathbb{R}^n$ is a vector space.

Fact (Our first non-vector vector space)

The set of polynomials in x is a vector space, denoted $\mathbb{P}$.

Useful fact: Two polynomials are equal if and only if they have the coefficients when written in **standard form**: $a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x + a_0$.

► **Exercise:** Determine whether $(x - 4)^3$ is a **scalar multiple** of $x^2 + x + 1$.

Exercise

Write x^2 as a **linear combination** of 1 , $1 + x$, and $1 + 2x + x^2$.
(Note: Numbers like 0 , 1 , and 7 count as **constant** polynomials!)

Definition: The degree of a polynomial

The degree of a non-zero polynomial in x is the largest power of x with non-zero coefficient.

We define $\deg(0) := -\infty$, mostly to avoid an extra case.

Fact (Polynomials of degree at most n)

For each positive integer n , the set of polynomials in x of degree at most n is a vector space, denoted $\mathbb{P}_n$.

Examples:

- ▶ $\mathbb{P}_1$ consists of polynomials $ax + b$, for a, b in $\mathbb{R}$.
- ▶ The three polynomials $(x - 1)^3$, $x^2 + 3x$, and 2 are in $\mathbb{P}_3$, but the polynomials x^4 and $x^8 - 2x^3$ are not.
- ▶ $\mathbb{P}_0$ is just the constant polynomials like 0 , 1 , and 7 , which are the same as numbers, so $\mathbb{P}_0 = \mathbb{R}$.

A non-example: Consider the set of polynomials of degree exactly 3 .

By a **sequence**, we mean an infinite list of real numbers.

Examples of sequences

0, 1, 1, 2, 3, 5, 8, 13, 21, ...	(the Fibonacci sequence)
2, 3, 5, 9, 11, 13, 17, ...	(prime numbers)
1, 3, 9, 27, 81, 243, ...	(powers of 3)
7, 12, -5, π , 3.5, 7, ...	(Just some random numbers)

Fact (The set of sequences is a vector space)

The set of sequences is a vector space, denote $\mathbb{S}$. Addition and scalar multiplication are defined *term-wise*.

Fact (Sets of matrices of fixed size are vector spaces)

For positive integers m and n , the set of $m \times n$ -matrices is a vector space, denoted $\mathbb{R}^{m \times n}$.

Addition and scalar multiplication are the matrix versions.

Example: Let's say we know $\mathbb{P}$ is a vector space, but not $\mathbb{P}_3$.

- ▶ To add two polynomials in $\mathbb{P}_3$, add them as polynomials in $\mathbb{P}$, and observe the result is still in $\mathbb{P}_3$.
- ▶ Scalar multiplication also does not leave $\mathbb{P}_3$.

Since the axioms hold in $\mathbb{P}$, they automatically hold in $\mathbb{P}_3$. **So $\mathbb{P}_3$ is a vector space.**

Definition: Subspace of a vector space

Let V be a vector space. A subspace of V is a non-empty subset W of V which is...

- closed under addition; that is,

for all v, w in W , the sum $v + w$ is in W , and

- closed under scalar multiplication; that is,

for all v in W and c in $\mathbb{R}$, the product cv is in W .

Fact (Subspaces are vector spaces)

A subspace of a vector space is also a vector space.

Exercise

Let S denote the set of polynomials in $\mathbb{P}_2$ such that $f(5) = 0$. That is,

$$S = \{f(x) \text{ in } \mathbb{P}_2 \mid f(5) = 0\}.$$

Show whether S is a subspace or not a subspace of $\mathbb{P}_2$.

Exercise

Let T denote the set of polynomials in $\mathbb{P}_2$ such that $f(5) = 1$. That is,

$$T = \{f(x) \text{ in } \mathbb{P}_2 \mid f(5) = 1\}.$$

Show whether T is a subspace or not a subspace of $\mathbb{P}_2$.

III. A subspace spanned by a set

Definition: $\text{Span}\{v_1, v_2, \dots, v_p\}$

The span of a set of objects is the set of their linear combinations.

Example:

$$\text{Span}\left\{\begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} -10 \\ 0 \\ -4 \end{bmatrix}\right\} := \left\{t \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix} + s \begin{bmatrix} -10 \\ 0 \\ -4 \end{bmatrix} \text{ for all } t, s \text{ in } \mathbb{R}\right\}$$

$$\text{Span}\left\{\begin{bmatrix} 1 \\ 4 \\ 7 \end{bmatrix}, \begin{bmatrix} 2 \\ 5 \\ 8 \end{bmatrix}, \begin{bmatrix} 3 \\ 6 \\ 9 \end{bmatrix}\right\} := \left\{r \begin{bmatrix} 1 \\ 4 \\ 7 \end{bmatrix} + s \begin{bmatrix} 2 \\ 5 \\ 8 \end{bmatrix} + t \begin{bmatrix} 3 \\ 6 \\ 9 \end{bmatrix} \text{ for all } r, s, t \text{ in } \mathbb{R}\right\}$$

Theorem: Spans are subspaces

The span of a set of objects in a vector space V is a subspace of V .

- $\text{Span}\{v_1, v_2, \dots, v_p\}$ is called **the subspace** spanned by $\{v_1, v_2, \dots, v_p\}$.

Definition: Spanning sets

A spanning set (or generating set) of H is a set of objects whose span is H .

- If $H = \text{Span}\{v_1, v_2, \dots, v_p\}$, then $\{v_1, v_2, \dots, v_p\}$ is a **spanning set** for H

Exercise

Let W be the subset of $\mathbb{R}^3$ consisting of vectors whose second entry is the average of the other two. Show that W is a subspace of $\mathbb{R}^3$.