

## PROBLEM 1 Notes, part 1

Ref:

- Stanley "Enumerative Combinatorics vol 1" 2nd ed
- Sage Math documentation (Google "SageMath" + a math concept)
- Blog post "Localized version of Greene's thm" by Joel & Lewis
- Lewis, Lyu, Pylyavskyy, Sen "Scaling limit of soliton lengths in a multicolor box-ball system" preprint 2019

Links on  
[egunawan.github.io/](https://egunawan.github.io/REU/literature)  
REU/ literature

(Last updated: 5 pm Thurs, June 11, 2020)

"Sage Practice" or "Practice" is a routine exercise you should do on your own, to help you become familiar with the problem.

"REU Exercise" is a more challenging problem which may help you eventually solve the PROBLEM.

- You should save all your "REU exercises" because they are likely to go into future presentations / reports / papers.
- During the Combinatorics group meeting, you will volunteer to present your answers or progress to the "REU exercises"

## 1.1 The Symmetric group

Def Write  $[n] := \{1, 2, \dots, n\}$  for  $n \geq 1$ .

A permutation is a bijection from  $[n]$  to itself.

- Two-line notation  $\pi = \begin{matrix} 1 & 2 & \dots & n \\ \pi(1) & \pi(2) & \dots & \pi(n) \end{matrix}$ , e.g.  $\pi = \begin{matrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 \\ 4 & 2 & 3 & 6 & 5 & 1 & 7 \end{matrix}$
- One-line notation  $\pi = \pi(1) \pi(2) \dots \pi(n)$ , e.g.  $\pi = 4 \ 2 \ 3 \ 6 \ 5 \ 1 \ 7$
- Cycle notation e.g.  $\pi = (146)(2)(3)(5)(7) = (146)$

Def The symmetric group  $S_n$  consists of permutations of  $[n]$  using compositions as group operation.

- This summer, let's agree to multiply permutations from right to left (like function compositions).

e.g.  $(14\overset{\curvearrowright}{6})(1\overset{\curvearrowright}{4}) = (16)$

$$(1\overset{\curvearrowright}{4})(14\overset{\curvearrowright}{6}) = (46)$$

Sage Practice: Do both computation in Sage. Follow Judson's examples.

sage:  $x = \text{Permutation}('(1,4)(2,5,6)')$

sage:  $y = \text{Permutation}([4,5,3,1,6,2])$

sage:  $x == y$

True

sage:  $z = \text{Permutation}([4,5,3,1,6,2])$

sage:  $w = \text{Permutation}("[4,5,3,1,6,2]")$

sage:  $z == w$

True

sage:  $x * w$  # use  $*$  to do multiplication of permutations.

You can go from one-line notation to cycle notation.

sage:  $\pi = \text{Permutation}([4,2,3,6,5,1,7])$

sage:  $\pi.\text{cycle\_string}()$

sage:  $\pi.\text{to\_cycles}()$

Sage Practice: Does Sage multiply permutations from right to left, or from left to right?

## 1.2. Partitions

Def • A partition of  $n \in \mathbb{Z}_{\geq 0}$  is a sequence  $\lambda = (\lambda_1, \lambda_2, \dots)$  of integers  $\lambda_i$  where the  $\lambda_i$  are weakly decreasing, that is,

$$\lambda_1 \geq \lambda_2 \geq \lambda_3 \geq \dots,$$

and  $\lambda_1 + \lambda_2 + \dots = n$ .

- If  $\lambda_k > 0$ , and  $\lambda_{k+1} = \lambda_{k+2} = \dots = 0$ , write  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_k)$ .

The nonzero terms  $\lambda_1, \lambda_2, \dots, \lambda_k$  are called parts. Say  $\lambda$  has  $k$  parts.

The number of parts is called the length of  $\lambda$ , denoted  $l(\lambda)$ .

- If  $\lambda$  is a partition of  $n$ , write  $\lambda \vdash n$  or  $|\lambda| = n$ .

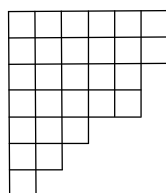
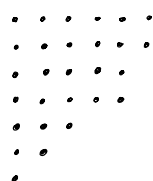
Def • The Ferrers diagram of  $\lambda$  is an array of dots having  $k$  left-justified rows with row  $i$  containing  $\lambda_i$  dots for  $1 \leq i \leq k$ .

- The Young diagram of  $\lambda$  is the Ferrers diagram with dots replaced by boxes.

- We also write the shape of  $\lambda$ ,  $sh(\lambda)$ , to describe the above.

Note: This is the "English" convention. There are also "French" and "Russian" notations

E.g.



Ferrers diagram and Young diagram of the partition  $\lambda = (6, 6, 5, 5, 3, 2, 1)$ .

Def The conjugate (or transpose) partition of  $\lambda$  is the partition  $\lambda^c$

whose shape is obtained from  $sh(\lambda)$  by interchanging rows and columns.

Equivalently, the shape of  $\lambda^c$  is the reflection of  $sh(\lambda)$  about

the main diagonal.

Rem

$\lambda_i^c = \#$  of parts of  $\lambda$  of size larger than or equal to  $i$ .

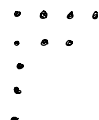
$\lambda_i = \#$  of parts of  $\lambda^c$  of size larger than or equal to  $i$ .

Prop Suppose  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_r)$  and  $\mu = (\mu_1, \mu_2, \dots)$  are partitions of  $n$

such that the number of parts of  $\mu$  that equal  $i$  is  $\lambda_i - \lambda_{i+1}$ .

Then  $\lambda^c = \mu$ .

E.g. If  $\lambda = (4, 3, 1, 1, 1)$ , then  $\lambda^c = (5, 2, 2, 1)$ .



Sage Exercise: Compute examples with Sage

```
sage: lamb = Partition([4,3,1,1,1])
sage: print(lamb.ferrers_diagram())

sage: lamb.conjugate()
[5,2,2,1]

sage: lamb.conjugate() == Partition([5,2,2,1])
True
```



### 1.3 Schensted's theorem

#### Definitions

- For any  $k \geq 0$ , let  $A_k$  be the maximum size of the disjoint union of  $k$  increasing subsequences of  $w$ .

E.g.  $\pi = \begin{matrix} 1 & 2 & 3 & 4 & 5 \\ 3 & 4 & 5 & 1 & 2 \end{matrix}$  (two-row notation)  $\pi = 34512$  (one-line notation)

$A_0=0$ ,  $A_1=3$  (witnessed by 345),  $A_2=5$  (witnessed by 345, 12),  $A_k=5 \quad \forall k \geq 2$

- For  $k \geq 1$ , let  $\lambda_k := A_k - A_{k-1}$ .

• Let  $\lambda = (\lambda_1, \lambda_2, \dots)$

- Sage Exercise: We can compute  $\lambda$  in Sage (Try it).

Sage: `Permutation([3,4,5,1,2]).RS_partition()`

`[3,2]`

Sage: `print(_.ferrers_diagram())`

`• • •`  
`• •`

- For any  $k \geq 0$ , let  $D_k$  be the maximum size of the disjoint union of  $k$  decreasing subsequences.

- For  $k \geq 1$ , let  $M_k := D_k - D_{k-1}$

• Let  $M = (M_1, M_2, M_3, \dots)$

E.g. for the same  $\pi = 34512$

$D_0=0$ ,  $D_1=2$  (witnessed by 31),  $D_2=4$  (witnessed by 31, 42),  $D_k=5 \quad \forall k \geq 3$

$M = (2, 2, 1)$

`• •`  
`• •`  
`•`

Note  $\lambda = (3, 2)$  and  $M = (2, 2, 1)$  are conjugate partitions.

Practice Let  $\pi = 795846321$ . Compute  $\lambda$  and  $\mu$  by hand.

Use Sage to verify your answers.

sage: `Permutation([7,9,5,8,4,6,3,2,1]).RS_partition()`

sage: `Permutation([7,9,5,8,4,6,3,2,1]).RS_partition().conjugate()`

Thm (Schensted 1961 "Longest Increasing and decreasing subsequences")

Let  $\pi$  be a permutation in  $S_n$ . Then

the length of the longest increasing subsequence of  $\pi$  is equal to the size of the first row of the RS-partition of  $\pi$  (I have not defined yet),

and the length of the longest decreasing subsequence of  $\pi$  is equal to the size of the first column of the RS-partition of  $\pi$ .

Thm (Greene 1974 "An extension of Schensted's theorem")

Let  $\pi$  be a permutation in  $S_n$ . Let  $\lambda$  and  $\mu$  be as defined above.

Then:

(i)  $\lambda$  is a partition of  $n$ , i.e.  $\lambda$  is a weakly decreasing sequence of nonnegative integers with sum  $n$ .

(ii)  $\mu$  is a partition of  $n$

(iii)  $\lambda$  and  $\mu$  are conjugate partitions, that is,

$\mu_i = \#$  of parts of  $\lambda$  of size larger than or equal to  $i$ ,

$\lambda_i = \#$  of parts of  $\mu$  of size larger than or equal to  $i$ .

Call  $\lambda$  the Robinson-Schensted (RS) partition

Why is this partition interesting? Connection to representation theory of the symmetric group, and other mathematics.

## 1.4 Localized version of the Robinson - Schensted (RS) partition

Ref: Lewis' 2019 OPAC blog

### 1.4.1 Localized version of union of increasing subsequences

Def • An ascent in a sequence  $u = (u_1, u_2, \dots)$  is an index  $i$  st  $u_i < u_{i+1}$ .

- Let  $\text{asc}(u)$  denote the number of ascents of  $u$
- Let  $\text{asc}^*(u) = 1 + \text{asc}(u)$  if  $u$  is nonempty.  
 $\text{asc}^*(u) = 0$  if  $u$  is the empty sequence.

e.g. If  $u = (3, 4, 5, 1, 2)$ , the ascents of  $u$  are the indices 1, 2, 4.  
So  $\text{asc}(u) = 3$ .  
 $\text{asc}^*(u) = 4$ .

Def If  $\pi \in S_n$ , define

$$A_k^L = \max_{u_1, \dots, u_k} (\text{asc}^*(u_1) + \dots + \text{asc}^*(u_k))$$

where the maximum is taken over disjoint subsequences of  $\pi$

$$\lambda^L = (\lambda_1^L, \lambda_2^L, \dots) \text{ where } \lambda_k^L := A_k^L - A_{k-1}^L \text{ for } k \geq 1.$$

E.g.  $\pi = 3 \ 4 \ 5 \ 1 \ 2$  (from before)

$$A_0^L = 0, A_1^L = \text{asc}^*(\pi) = 4, A_2^L = \text{asc}^*(\underline{345}) + \text{asc}^*(\underline{12}) = 3 + 2 = 5, A_k^L = 5 \ \forall k \geq 2.$$

A subsequence does not need to be consecutive.

$$\text{Here } \lambda^L = (4, 1)$$

### 1.4.2 Localized version of union of decreasing subsequences

Def • If  $u = (u_1, u_2, \dots)$  is a sequence, let

$d(u)$  = the maximum size of a decreasing subsequence of  $u$ .

E.g. If  $u = (3, 4, 5, 1, 2)$ , then a longest decreasing subsequence is  $(3, 1)$   
So  $d(u) = 2$

Def If  $\pi \in S_n$ , define

$$D_k^L = \max_{\pi = u_1 | u_2 | \dots | u_k} (d(u_1) + d(u_2) + \dots + d(u_k))$$

where the maximum is taken over ways of writing  $\pi$  as a concatenation  $u_1 | u_2 | \dots | u_k$  of consecutive subsequences.

$$M^L = (M_1^L, M_2^L, \dots) \text{ where } M_k^L := D_k^L - D_{k-1}^L \text{ for } k \geq 1.$$

E.g.  $\pi = 3 \ 4 \ 5 \ 1 \ 2$

$$D_0^L = 0, D_1^L = d(34512) = 2,$$

$$\begin{aligned} D_2^L &= d(3) + d(4512) \quad \text{other possible divisions are } 34|512 \text{ and } 34|51|2 \\ &= 1 + 2 \\ &= 3 \end{aligned}$$

$$\begin{aligned} D_3^L &= d(3) + d(4) + d(512) \quad \text{other possible divisions are } 3|451|2, 34|51|2 \\ &= 1 + 1 + 2 \\ &= 4 \end{aligned}$$

$$\begin{aligned} D_4^L &= d(3) + d(4) + d(51) + d(2) \\ &= 1 + 1 + 2 + 1 \\ &= 5 \end{aligned}$$

$$D_k^L = 5 \text{ for all } k \geq 4.$$

$$\text{So } M^L = (2, 1, 1, 1).$$

Note:  $\lambda^L = (4, 1)$  and  $M^L = (2, 1, 1, 1)$  are conjugate partitions.

### 1.4.3 Localized version of Greene's Thm

Theorem (Lemma 2.1 of Lewis, Lyu, Pylyavskyy, Sen [LLPS])  
2019 "Scaling limit of soliton lengths in a multicolor box-ball system")

Let  $\pi$  be a permutation in  $S_n$ . Let  $\lambda^L$  and  $\mu^L$  be as defined above.

Then:

(i)  $\lambda^L$  is a partition of  $n$ , i.e.  $\lambda$  is a weakly decreasing sequence of nonnegative integers with sum  $n$ .

(ii)  $\mu^L$  is a partition of  $n$

(iii)  $\lambda^L$  and  $\mu^L$  are conjugate partitions, that is,

$\mu_i^L = \#$  of parts of  $\lambda$  of size larger than or equal to  $i$ ,

$\lambda_i = \#$  of parts of  $\mu$  of size larger than or equal to  $i$ .

• Call  $\mu^L$  the box-ball system (BBS) soliton partition of  $\pi$ .

#### Optional task

• Write a python function which computes

the  $A_k^L$ 's,  $D_k^L$ 's,  $\lambda^L$ ,  $\mu^L$  ← the BBS soliton partition

• The function should interact with Sage. It should take the Permutation object as input and output the Partition object

• put your functions in a .py file (if you're using the Terminal)  
or in a cell (if you use a worksheet / CoCalc)

• If you use the Terminal, you can call the file from Sage by typing:  
`attach('~ / path to file / yourfile.py')`

• Share the file with everyone.

• Why is this theorem interesting? The partition  $\mu^L$  describes the long-term behavior of a multicolor box-ball system initialized with one ball in each color  $\{1, 2, \dots, n\}$ , arranged according to  $\pi$ .

The BBS is a dynamical system consisting of balls in an infinite strip.

↳ Balls take turns jumping to the first available cell, in decreasing order starting with the largest-numbered ball. Use 0's to denote the empty cells.

E.g.  $\pi = 3\ 4\ 5\ 1\ 2$

$t = 0$     3 4 5 1 2 0 0 0 ...    → initial configuration  
           3 4 0 1 2 5 0 0 ...  
           3 0 4 1 2 5 0 0 ...  
           0 3 4 1 2 5 0 0 ...  
           0 3 4 1 5 2 0 ...  
 →  $t = 1$     0 3 4 0 1 5 2 0 ...    → configuration after one BBS move  
           0 3 4 0 1 0 2 5 0 ...  
           0 3 0 4 1 0 2 5 0 ...  
           0 0 3 4 1 0 2 5 0 ...  
           0 0 3 4 1 0 0 5 2 0 ...  
 $t = 2$     0 0 3 4 0 1 0 5 2 0 ...    → configuration after two BBS moves  
           0 0 3 4 0 1 0 0 2 5 0 ...  
           0 0 3 0 4 1 0 0 2 5 0 ...  
           0 0 0 3 4 1 0 0 2 5 0 ...  
           0 0 0 3 4 1 0 0 0 5 2 0 ...  
 $t = 3$     0 0 0 3 4 0 1 0 0 5 2 0 ...    → configuration after three BBS moves  
           :  
 Observe

$t = 0$     3 4 5 1 2 0 0 ...  
 →  $t = 1$     0 3 4 0 1 5 2 0 ...  
 $t = 2$     0 0 3 4 0 1 0 5 2 0 ...  
 $t = 3$     0 0 0 3 4 0 1 0 0 5 2 0 ...  
 $t = 4$     0 - - 0 3 4 0 1 0 0 0 5 2 0 ...  
 $t = 5$     0 - - 0 3 4 0 1 0 0 0 0 0 5 2 0 ...  
 $t = 6$     0 - - 0 3 4 0 1 0 0 0 0 0 0 0 5 2 0 ...  
 $t = 7$     0 - - - 0 3 4 0 1 0 0 0 0 0 0 0 0 5 2 0 ...  
 $t = 8$     0 - - - 0 3 4 0 1 0 0 0 0 0 0 0 0 0 5 2 0 ...  
           :  
 :

At every time-step starting at  $t=1$ ,  
 the two balls 5 2 advances two jumps to the right, } giving  
 the ball 1 advances one jump to the right, }  $M^L = (2, 1, 1, 1)$   
 the ball 4 advances one jump to the right,  
 the ball 3 advances one jump to the right

5 2  
1  
4  
3

Optional task: Figure out if this is implemented in Sage

E.g.  $\pi = 6 \ 3 \ 4 \mid 7 \ 2 \ 8 \ 5$

$t = 0$       6 3 4 | 7 2 8 5 0 0 0 ...  
 $\rightarrow t = 1$       0 0 3 0 6 1 7 4 8 5 2 0 ...  
 $t = 2$       0 0 0 3 0 0 6 1 7 4 0 8 5 2 0 ...  
 $t = 3$       0 0 0 0 3 0 0 0 6 1 7 4 0 0 8 5 2 0 ...  
 $\vdots$

At every time-step starting at  $t=1$ ,

the three balls 8 5 2 advances three jumps to the right  
 the two balls 7 4 — " — two jumps — " —  
 the two balls 6 1 — " — two jumps — " —  
 the one ball 3 — " — one jump — " —

giving  
 $M^L = (3, 2, 2, 1)$

8 5 2  
 7 4  
 6 1  
 3

Practice: • Check that  $M^L = (3, 2, 2, 1)$  for  $\pi = 6 \ 3 \ 4 \mid 7 \ 2 \ 8 \ 5$ ,  
 using the definition of  $D_K^L$ .

- Compute  $\lambda^L$  for  $\pi = 6 \ 3 \ 4 \mid 7 \ 2 \ 8 \ 5$ , using the def of  $A_K^L$ .  
 Confirm that your answer is the conjugate of  $M^L$ .

Note:  $M = M^L = (3, 2, 2, 1)$  for  $\pi = 6 \ 3 \ 4 \mid 7 \ 2 \ 8 \ 5$ ,  
 but this is not true in general, as you see with  $\pi = 3 \ 4 \ 5 \ 1 \ 2$ .

# More examples

$$\begin{array}{l} \rightarrow t=0 \\ t=1 \end{array} \quad \begin{array}{c} \pi = 1 \ 2 \ 3 \ 4 \ 5 \\ 1 \ 2 \ 3 \ 4 \ 5 \\ 1 \ 2 \ 3 \ 4 \ 5 \\ \vdots \\ 1 \end{array} \quad M^L = (1, 1, 1, 1, 1) \quad \left. \vphantom{\begin{array}{c} \pi = 1 \ 2 \ 3 \ 4 \ 5 \\ 1 \ 2 \ 3 \ 4 \ 5 \\ 1 \ 2 \ 3 \ 4 \ 5 \\ \vdots \\ 1 \end{array}} \right\} \rightarrow \begin{array}{l} t=0 \\ t=1 \end{array} \quad \begin{array}{c} \pi = 4 \ 3 \ 2 \ 1 \\ 4 \ 3 \ 2 \ 1 \\ 4 \ 3 \ 2 \ 1 \\ \vdots \\ 4 \ 3 \ 2 \ 1 \end{array} \quad M^L = (4)$$

$$\begin{array}{l} t=0 \\ t=1 \\ t=2 \end{array} \quad \begin{array}{c} \pi = 6 \ 5 \ 7 \ 4 \ 3 \ 2 \ 1 \\ 6 \ 5 \ 7 \ 4 \ 3 \ 2 \ 1 \\ 6 \\ 6 \end{array} \quad \begin{array}{c} 7 \ 5 \ 4 \ 3 \ 2 \ 1 \\ 7 \ 5 \ 4 \ 3 \ 2 \ 1 \end{array} \quad M^L = (6, 1)$$

$$\begin{array}{l} t=0 \\ t=1 \\ t=2 \\ t=3 \end{array} \quad \begin{array}{c} \pi = 5 \ 3 \ 2 \ 1 \ 8 \ 6 \ 7 \ 4 \\ 5 \ 3 \ 2 \ 1 \ 8 \ 6 \ 7 \ 4 \\ 5 \ 3 \ 6 \ 2 \ 8 \ 7 \ 4 \ 1 \\ 5 \ 6 \ 3 \ 2 \ 8 \ 7 \ 4 \ 1 \\ 5 \ 6 \ 3 \ 2 \ 8 \ 7 \ 4 \ 1 \end{array} \quad M^L = (4, 3, 1)$$

$$\begin{array}{l} t=1 \\ t=2 \\ t=3 \\ t=4 \end{array} \quad \begin{array}{c} \pi = 5 \ 3 \ 2 \ 1 \ 8 \ 7 \ 4 \ 6 \\ 5 \ 3 \ 2 \ 4 \ 8 \ 7 \ 6 \ 1 \\ 3 \ 5 \ 4 \ 2 \ 8 \ 7 \ 6 \ 1 \\ 3 \ 5 \ 4 \ 2 \ 8 \ 7 \ 6 \ 1 \\ 3 \ 5 \ 4 \ 2 \ 8 \ 7 \ 6 \ 1 \end{array} \quad M^L = (4, 3, 1)$$

$$\begin{array}{l} t=1 \\ t=2 \\ t=3 \\ t=4 \end{array} \quad \begin{array}{c} \pi = 5 \ 2 \ 1 \ 3 \ 8 \ 4 \ 6 \ 7 \\ 2 \ 5 \ 3 \ 4 \ 6 \ 8 \ 7 \ 1 \\ 2 \ 3 \ 5 \ 6 \ 4 \ 8 \ 7 \ 1 \\ 2 \ 3 \ 5 \ 6 \ 4 \ 8 \ 7 \ 1 \\ 2 \ 3 \ 5 \ 6 \ 4 \ 8 \ 7 \ 1 \end{array} \quad M^L = (3, 2, 1, 1, 1)$$

$$\begin{array}{l} t=1 \\ t=2 \\ t=3 \end{array} \quad \begin{array}{c} \pi = 3 \ 2 \ 5 \ 1 \ 8 \ 4 \ 7 \ 6 \\ 3 \ 5 \ 2 \ 4 \ 1 \ 8 \ 7 \ 6 \\ 3 \ 2 \ 5 \ 4 \ 1 \ 8 \ 7 \ 6 \\ 3 \ 2 \ 5 \ 4 \ 1 \ 8 \ 7 \ 6 \end{array} \quad M^L = (3, 3, 1, 1)$$

$$\begin{array}{l} t=1 \\ t=2 \\ t=3 \\ t=4 \end{array} \quad \begin{array}{c} \pi = 3 \ 1 \ 5 \ 2 \ 8 \ 4 \ 7 \ 6 \\ 3 \ 1 \ 5 \ 2 \ 4 \ 8 \ 7 \ 6 \\ 3 \ 1 \ 2 \ 5 \ 4 \ 8 \ 7 \ 6 \\ 1 \ 3 \ 2 \ 5 \ 4 \ 8 \ 7 \ 6 \\ 1 \ 3 \ 2 \ 5 \ 4 \ 8 \ 7 \ 6 \end{array} \quad M^L = (3, 2, 2, 1)$$

$$\begin{array}{l} t=0 \\ t=1 \\ t=2 \end{array} \quad \begin{array}{c} \pi = 3 \ 2 \ 5 \ 4 \ 1 \ 8 \ 7 \ 6 \\ 3 \ 2 \ 5 \ 4 \ 1 \ 8 \ 7 \ 6 \\ 3 \ 2 \ 5 \ 4 \ 1 \ 8 \ 7 \ 6 \end{array} \quad M^L = (3, 3, 2)$$



Ex  $\pi = 5\ 4\ 2\ 3\ 1$   
 $\rightarrow t=1$  2 5 4 3 1  
 $t=2$  2 5 4 3 1

$\rightarrow t=1$   $\pi = 5\ 2\ 4\ 3\ 1$   
 2 5 4 3 1

$\rightarrow t=1$   $\pi = 3\ 2\ 5\ 4\ 1\ 7\ 6$   
 $t=2$  3 2 5 4 7 6 1  
 3 2 5 4 7 6 1

$\pi = 3\ 1\ 5\ 2\ 8\ 4\ 7\ 6$   
 $\pi = 7\ 6\ 8\ 4\ 5\ 2\ 3\ 1$   
 $\rightarrow t=1$  7 4 2 8 6 5 3 1  
 $t=2$  7 4 2 8 6 5 3 1

$\pi = 3\ 1\ 5\ 2\ 7\ 6\ 8\ 4$   
 $t=1$  3 1 5 2 7 8 6 4  
 $t=2$  3 1 5 7 2 8 6 4  
 $\rightarrow t=3$  3 5 1 7 2 8 6 4  
 $t=4$  3 5 1 7 2 8 6 4  
 $t=5$  3 5 1 7 2 8 6 4

$\pi = 3\ 1\ 5\ 2\ 8\ 4\ 7\ 6$  (already did this example earlier)  
 $t=1$  3 1 5 2 4 8 7 6  
 $t=2$  3 1 2 5 4 8 7 6  
 $t=3$  1 3 2 5 4 8 7 6  
 $t=4$  1 3 2 5 4 8 7 6

E.g.

$$\pi = 1 \ 7 \ 2 \ 3 \ 5 \ 9 \ 4 \ 6 \ 8$$

$$\bullet A_k^L = \max_{u_1, \dots, u_k} (asc^*(u_1) + \dots + asc^*(u_k))$$

where the maximum is taken over disjoint subsequences of  $\pi$

- $\lambda^L = (\lambda_1^L, \lambda_2^L, \dots)$  where  $\lambda_k^L := A_k^L - A_{k-1}^L$  for  $k \geq 1$ .

$$A_1^L = \text{asc}(\underline{1} \ 7 \ \underline{2} \ \underline{3} \ \underline{5} \ 9 \ \underline{4} \ \underline{6} \ 8) + 1$$

$$= 6 + 1 = 7$$

$$A_2^L = \text{asc}^*(\underline{1} \ 7 \ \underline{2} \ \underline{3} \ \underline{5} \ \underline{9} \ 4 \ 6 \ 8) + \text{asc}^*(1 \ \underline{7} \ 2 \ 3 \ 5 \ 9 \ \underline{4} \ \underline{6} \ \underline{8})$$

$$= (4+1) + (2+1) = 8$$

$$A_3^L = \text{asc}^*(\underline{1} \ 7 \ \underline{2} \ \underline{3} \ \underline{5} \ \underline{9} \ 4 \ 6 \ 8) + \text{asc}^*(1 \ 7 \ 2 \ 3 \ 5 \ 9 \ \underline{4} \ \underline{6} \ \underline{8}) + \text{asc}^*(1 \ \underline{7} \ 2 \ 3 \ 5 \ 9 \ 4 \ 6 \ 8) = (\underline{4} + \underline{1}) + (\underline{2} + \underline{1}) + 1 = 9$$

Some other ways to break  $\pi$  into three increasing subsequences include:

1 7 2 3 5 9 4 6 8  
1 7 2 3 5 9 4 6 8  
1 7 2 3 5 9 4 6 8

1 7 2 3 5 9 4 6 8  
1 7 2 3 5 9 4 6 8  
1 7 2 3 5 9 4 6 8

1 7 2 3 5 9 4 6 8  
1 7 2 3 5 9 4 6 8  
1 7 2 3 5 9 4 6 8

1 7 2 3 5 9 4 6 8  
1 7 2 3 5 9 4 6 8  
1 7 2 3 5 9 4 6 8

$$\chi^L = \begin{pmatrix} \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & & & & & & \\ \cdot & & & & & & \end{pmatrix}$$

$$\bullet \mathcal{D}_k^L = \max_{\pi = u_1 | u_2 | \dots | u_k} (d(u_1) + d(u_2) + \dots + d(u_k))$$

where the maximum is taken over ways of writing  $\pi$  as a concatenation  $u_1|u_2|\dots|u_k$  of consecutive subsequences.

- $M^L = (M_1^L, M_2^L, \dots)$  where  $M_k^L := \mathcal{D}_k^L - \mathcal{D}_{k-1}^L$  for  $k \geq 1$ .

$D_1^1 = 1(1 \underline{7} 2 3 \underline{5} 9 \underline{4} 6 8)$  unique longest dec. subseq  
 $= 3$

$$D_2 = d(1 \mid 7 \ 2 \ 3 \ 5 \ 9 \ 4 \ 6 \ 8)$$
  

$$d(1 \mid \underline{7} \ 2 \ 3 \ \underline{5} \ 9 \ \underline{4} \ 6 \ 8)$$
  

$$= 1 + 3$$

$$\begin{aligned} \mathcal{D}_3^L &= d(1 \mid 7 \ 2 \ 3 \ 5 \ 9 \ 4 \ 6 \mid 8) + \\ &\quad d(\cancel{1} \mid 7 \ 2 \ 3 \ 5 \ 9 \ 4 \ 6 \mid 8) + \\ &\quad d(\cancel{1} \mid \cancel{7} \ 2 \ 3 \ \cancel{5} \ 9 \ \cancel{4} \ 6 \mid 8) \\ &= 4 + 3 + 1 \end{aligned}$$

•  
•  
•

$$\begin{aligned} \mathcal{D}_7^L &= d(1 \mid 7 \mid 2 \mid 3 \mid 5 \mid 9 \mid 4 \mid 6 \mid 8) \\ &\quad \vdots \\ &= 1 + 2 + 1 + 1 + 2 + 1 + 1 = 9 \end{aligned}$$

Check w/ BBS moves

$t=0$  1 7 2 3 5 9 4 6 8 0 0 0 0 0 0  
 $\rightarrow t=1$  0 1 0 2 3 7 0 5 6 9 8 4 0 0 0  
 $t=2$  0 0 1 0 2 3 7 0 5 6 0 9 8 4 0  
 $t=3$  0 0 0 1 0 2 3 7 0 5 6 0 0 9 8 4

$$M^L = (3, 1, 1, 1, 1, 1)$$

1  
2  
3  
4  
5  
6  
7

E.g.

E.g.  $\pi = 7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1$

•  $A_k^L = \max_{u_1, \dots, u_k} (\text{asc}^*(u_1) + \dots + \text{asc}^*(u_k))$

where the maximum is taken over disjoint subsequences of  $\pi$

•  $\lambda^L = (\lambda_1^L, \lambda_2^L, \dots)$  where  $\lambda_k^L := A_k^L - A_{k-1}^L$  for  $k \geq 1$ .

$A_1^L = \text{asc}(\pi) + 1 = \# \{1, 3, 5\} + 1$   
 $= 3 + 1 = 4$

$A_2^L = \text{asc}^*(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $\text{asc}^*(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1)$   
 $= (3+1) + (0+1) = 5$

$A_3^L = \text{asc}^*(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $\text{asc}^*(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $\text{asc}^*(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $= (3+1) + (0+1) + (0+1) = 6$

$A_4^L = \text{asc}^*(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $\text{asc}^*(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $\text{asc}^*(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $\text{asc}^*(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $= (3+1) + (0+1) + (0+1) + (0+1) = 7$

$A_5^L = \text{asc}^*(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $\text{asc}^*(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $\text{asc}^*(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $\text{asc}^*(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $\text{asc}^*(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $= (2+1) + (1+1) + 1 + 1 + 1 = 8$

$A_6^L = \text{asc}^*(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $\text{asc}^*(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $\text{asc}^*(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $\text{asc}^*(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $\text{asc}^*(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $\text{asc}^*(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $= (1+1) + (1+1) + (1+1) + 1 + 1 + 1 = 9$

$\lambda^L = (4, 1, 1, 1, 1, 1)$

•  $D_k^L = \max_{\pi = u_1 | u_2 | \dots | u_k} (d(u_1) + d(u_2) + \dots + d(u_k))$

where the maximum is taken over ways of writing  $\pi$  as a concatenation  $u_1 | u_2 | \dots | u_k$  of consecutive subsequences.

•  $M^L = (M_1^L, M_2^L, \dots)$  where  $M_k^L := D_k^L - D_{k-1}^L$  for  $k \geq 1$ .

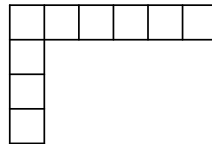
$D_1^L = \text{maximum size of decreasing subsequence of } \pi$   
 $= \# \{7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1\} = 6$

$D_2^L = d(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $d(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1)$   
 $= 2 + 5 = 7$

$D_3^L = d(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $d(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $d(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $= 1 + 2 + 5 = 8$

$D_4^L = d(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $d(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $d(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $d(7\ 9\ 5\ 8\ 4\ 6\ 3\ 2\ 1) +$   
 $= 1 + 2 + 2 + 4$   
 $= 9$

$M^L = (6, 1, 1, 1, 1)$



Verify  $M^L$  using Box-ball system

$t=0$  7 9 5 8 4 6 3 2 1  
 $t=1$  7 5 4 9 8 6 3 2 1  
 $t=2$  7 5 4 9 8 6 3 2 1

## REU PROBLEM I

Prove that  $\lambda^L$  and  $\mu^L$  are partitions, and prove that they are conjugate. Give a proof that stays in the realm of permutation combinatorics (i.e. not using box-ball system theory)

REU Exercise 1 (without using [LLPS] Lemma 2.1)

- i. Prove that  $\lambda_1^L$  equals the number of positive parts of  $\mu^L$ . ← Look at the sketch of proof & add/edit
- ii. Prove that  $\mu_1^L$  equals the number of positive parts of  $\lambda^L$ .  
[ (# of parts of  $\lambda^L$ )  $\geq \mu_1^L$  is done using pigeonhole principle, see Group Meeting Thurs May 28 ]

REU Exercise 2 (possibly problem) — Use Fukuda Convention

- (i) Given  $\pi \in S_n$ , at what time  $t$  do the balls get sorted into their long-term behavior?

data  
using  
LLPS  
convention

For example,

$t=0$  for  $\pi = 54321, \pi = 1234, \pi = 32541876$   
 $t=1$  for  $\pi = 6574321, \pi = 34512, \pi = 63417285$   
 $t=2$  for  $\pi = 52138467$   
 $t=3$  for  $\pi = 31528476$

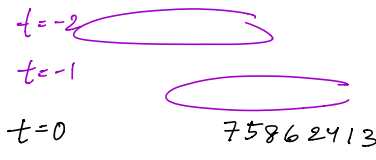
(End of lecture on Tues, May 26, 2020)

- (ii) What permutations guarantee  $t=0$ ? (iv) Which permutations have largest  $t$ ?  
 (iii) What is an upper bound of  $t$ ? (v) (Asymptotic question) How long does a typical permutation take?

- (vi) Given a BBS system which contains  $\pi \in S_n$  as one of the states, how many permutations are part of the system?

## Notes for (vi)

$t = -2$   
 $t = -1$   
 $t = 0$



number of permutation configurations in this system is 3

## Notes for (iii)

up to  $n = 9$ ,

$n$  is an upper bound

$n-3$  is the minimum upper bound (for  $4 \leq n \leq 9$ )

$n$	min. upper bound of $t$
1	0
2	0
3	1
4	1
5	2
6	3
7	4
8	5
9	6

## done REU Exercise 3

Given  $\pi \in S_n$ , let  $\text{reverse}(\pi)$  be the permutation  $\pi_n \pi_{n-1} \dots \pi_2 \pi_1$ .

Is  $M^t(\pi) = \lambda^t(\text{reverse}(\pi))$ ? Ans No. e.g.  $n=4$ , 2143, 3142, 2413, 3412.

$\lambda = \lambda^t = (3, 2)$

$\lambda = (2, 2)$

$\lambda^t = (3, 1)$

\* Practice: Convince yourself that  $M(\pi) = \lambda(\text{reverse}(\pi))$ . (Classical version)

## 1.5 Partial order (poset)

Def If  $P$  is a set, then a partial order on  $P$  is a relation  $\leq$  s.t

- $a \leq a$  (reflexive)
- $a \leq b$  and  $b \leq a$  implies  $a = b$  (antisymmetry)
- $a \leq b$  and  $b \leq c$  implies  $a \leq c$  (transitivity)

Say that  $(P, \leq)$  is a partially ordered set (poset)

Def (Dominance partial order on partitions of  $n$ )

Suppose  $p = (p_1, p_2, \dots)$  and  $q = (q_1, q_2, \dots)$  are partitions of  $n$ .

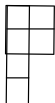

Then  $p \leq q$ ,

say " $q$  dominates  $p$ " iff

for all  $k \geq 1$ ,  $p_1 + p_2 + \dots + p_k \leq q_1 + q_2 + \dots + q_k$ .

Intuitively, if  $p \leq q$ ,  $sh(p)$  is tall and skinny,  $sh(q)$  is short and fat.

E.g.  $(2, 2, 1, 1) \leq (3, 3)$

	$2 \leq 3$ $2+2 \leq 3+3$ $2+2+1 \leq 3+3+0$ $2+2+1+1 \leq 3+3+0+0$	
---	--	---

E.g.  $(4, 1, 1)$  and  $(3, 3)$  are not comparable:

$$4 \not\leq 3$$

$$4+1 \leq 3+3$$

E.g.  $(1, 1, \dots, 1) \leq q$  for all partitions  $q$  of  $n$ .

Sage practice  $p \leq (n)$  for all partitions  $p$  of  $n$ .

Sage:  $P = \text{Partition}([2, 2, 1, 1])$

Sage:  $q = \text{Partition}([3, 3])$

Sage:  $q$ .dominates( $p$ )

True

Sage:  $q$ .dominated-partitions()

REU Exercise 4

$$\lambda^L \geq (\mu^L)^c$$

\*  $\lambda^L \geq (\mu^L)^c$  (by REU Exercise 1(c), they are equal)  
 \* Start with  $k=2$

Show that  $\lambda^L$  dominates the conjugate of  $\mu^L$  (without [LPS] Lemma 2.1)

i.e. show that the conjugate of  $\mu^L$  is smaller or equal to  $\lambda^L$  in the dominance order.

Note: If we show  $\lambda^L \leq (\mu^L)^c$ , we would solve PROBLEM 1.

— end of Problem 1, part 1 notes —  
 Wed, May 27, 2020

To compute RSK algorithm:

sage :  $\pi = \text{Permutation}([ \dots ])$

sage :  $\text{RSK}(\pi)$  # the shape of  $\text{RSK}(\pi) = \lambda(\pi)$  <sup>classical</sup>

sage :  $\pi.\text{RS\_partition}()$