Lecture 14a

## Basis Algorithms for the kernel of a matrix



#### Definition (Subspaces of $\mathbb{R}^n$ )

A subspace of  $\mathbb{R}^n$  is a non-empty subset of  $\mathbb{R}^n$  which is closed under addition and scalar multiplication.

Subspaces generalize linear objects like lines and planes (to higher dimension)

Many sets we've studied are subspaces: solution sets to homogeneous linear systems, eigenspaces, kernels, images, spans.

#### Definition (Bases for a subspaces)

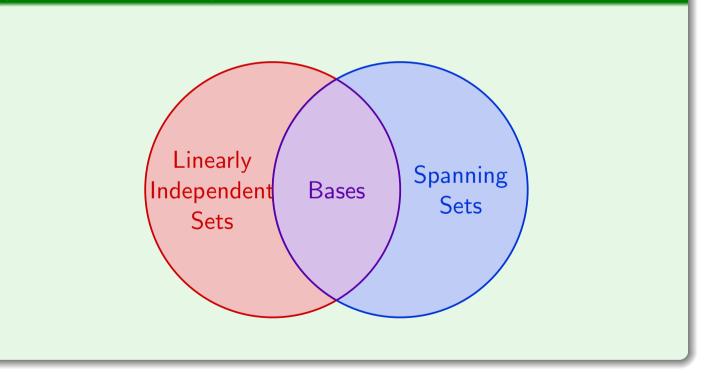
A basis S of a subspace V is a list of vectors such that every vector in V can be written uniquely as a linear combination of the vectors in S.

Useful for efficiently encoding subspaces in a finite list of vectors.

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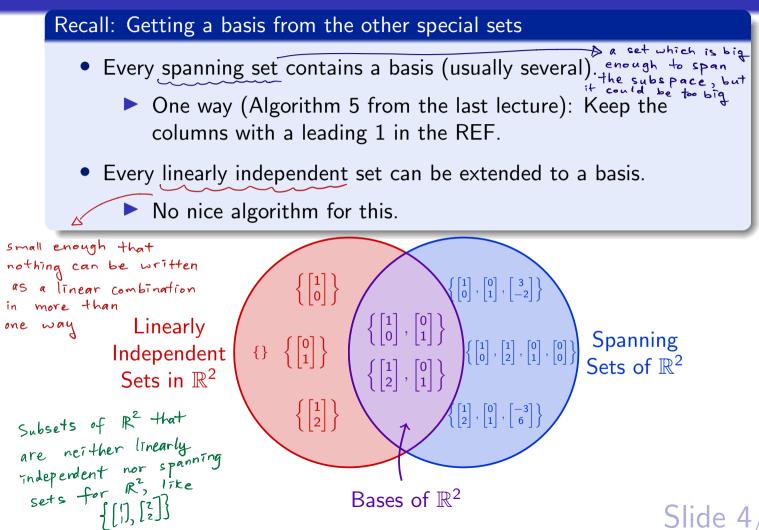
Gives us the notion of dimension

## Special sets in a subspace



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## Review



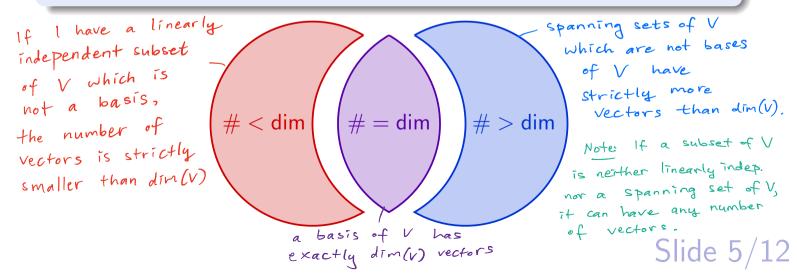
# Review

#### Recall: Dimension and number of vectors

• Every basis for a V contains exactly  $\dim(V)$ -many vectors.

#### Theorem 7 from the last lecture:

- Every spanning set for V contains at least dim(V)-many vectors, and equality implies it is a basis.
- Every linearly independent set in V contains at most dim(V)-many vectors, and equality implies it is a basis.



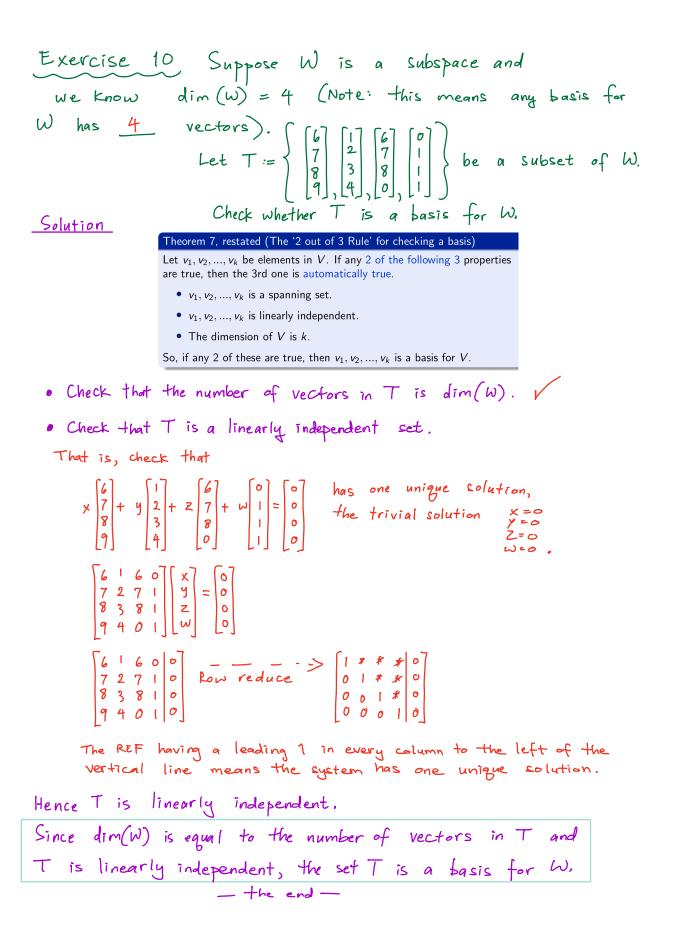
# Review

### Recall Theorem 7 from the last lecture (The '2 out of 3' rule)

To show a set of vectors in a subspace V is a basis, you only need to check 2 of the following 3:

- The set is linearly independent.
- The set is a spanning set for V.
- The number of vectors in the set equals  $\dim(V)$ .

#### Exercise 1 (Review Exercise 10 from live class lecture on Lec 13b)



#### Next up

Computing a basis for the kernel of A (i.e. solutions to Av = 0).

#### Exercise 2

**a** Find the general solution to the following matrix equation.

$$\underbrace{\begin{bmatrix} 2 & -2 & -4 & 4 \\ -1 & 1 & 3 & 2 \end{bmatrix}}_{A} \begin{bmatrix} w \\ x \\ y \\ z \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

**(b)** Use the general solution to find a basis for the subspace of solutions to Ax = 0.

A general solution is a description of all solutions using parameters.

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Exercise 2

**a** Find the general solution to the following matrix equation.

$$\begin{bmatrix} 2 & -2 & -4 & 4 \\ -1 & 1 & 3 & 2 \end{bmatrix} \begin{bmatrix} w \\ x \\ y \\ z \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ z \end{bmatrix}$$
 (set the 2nd and 4th var x and 2 to parameters

A Les A Find all solutions by row reducing

$$\begin{bmatrix} 2 & -2 & -4 & 4 & 0 \\ -1 & 1 & 3 & 2 & 0 \end{bmatrix} \rightarrow \begin{bmatrix} 1 & -1 & -2 & 2 & 0 \\ -1 & 1 & 3 & 2 & 0 \end{bmatrix} \rightarrow \begin{bmatrix} 0 & -1 & -2 & 2 & 0 \\ 0 & 0 & 4 & 0 \end{bmatrix}$$

$$\begin{array}{c} \text{No leading 1 on the 2nd and 4th col:} \\ \text{Let } x = t \\ \text{Let } z = s \end{array}$$

$$\begin{array}{c} \text{Let } z = s \\ \text{Let } z = s \end{array}$$

Back substitution :

$$i\omega - 1.x - 2.y + 2.z = 0 \Rightarrow \omega - t - 2(-4s) + 2s = 0 \Rightarrow \omega - t + 8s + 2s = 0$$

$$i.y + 4z = 0 \Rightarrow y + 4s = 0 \Rightarrow y = -4s$$

$$i\omega = t - 10s$$
So the general solution is
$$\begin{cases} t - 10s \\ t \\ -4s \\ s \end{cases}$$
for t, s in R.

# (b) Use the general solution to find a basis for the subspace of solutions to Ax = 0.

Comments we just showed that every element in 
$$\ker(A)$$
 is of the form  

$$\begin{bmatrix}
t - 10 \\
s \\
-4s \\
s \\
9 \\
4 \\
0
\end{bmatrix} = t \begin{bmatrix}
1 \\
1 \\
1 \\
+ \\
0 \\
-4s \\
-4s$$

Row reduce 
$$\begin{bmatrix} 1 & -lo & 0 \\ 1 & 0 & 0 \\ 0 & 4 & 0 \\ 0 & 1 & 0 \end{bmatrix} \Rightarrow \begin{bmatrix} 1 & -lo & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 0 \end{bmatrix} \Rightarrow \begin{bmatrix} 1 & -lo & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 0 \end{bmatrix} \Rightarrow \begin{bmatrix} 1 & -lo & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 0 \end{bmatrix} \Rightarrow \begin{bmatrix} 1 & -lo & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$
  
has a leading l,  
so the vectors  
 $R_{2} \mapsto -R_{1} + R_{2}$   
 $R_{3} \mapsto \frac{1}{q} R_{3}$   
 $R_{3} \mapsto \pi_{2} + R_{3}$   
rend of explanation  $R_{4} \mapsto -R_{2} + R_{4}$   
So  $\left\{ \begin{bmatrix} 1 & -10 \\ 0 & 1 & 0 \\ 0 & 1 & 0 \end{bmatrix} \right\}$  is a basis for ker (A)  
 $\left\{ \begin{bmatrix} 1 & -10 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix} \right\}$  is a basis for ker (A)  
 $R_{4} \mapsto -R_{2} + R_{4}$ 

Extra info: This means ker(A) has dimension 2, the same dimension as a plane in 3D. So think of this space as a "plane" in 4D.

## Algorithm 1 (basis for kernel): Find one basis for the kernel of A

- 1 Put A into REF.
- 2 Write a general solution to Ax = 0, introducing a parameter for each column of the REF without a leading 1.
- **3** Rewrite the general solution as a linear combination whose coefficients are the parameters.
- Then vectors in the linear combination form a basis for ker(A).

### Why does this work?

It's a spanning set since every solution is a linear combination. It's linearly independent since each vector is non-zero in a new row

This is not the only basis for the kernel of A!

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#### Exercise 3

$$\mathsf{A} := \begin{bmatrix} 1 & 1 & 1 & 2 \\ 1 & 1 & 0 & -1 \\ 0 & 0 & 1 & 3 \end{bmatrix}$$

- (a) Find a basis for ker(A) and the dimension of ker(A).
- **b** Check that the following set of vectors is a basis for ker(A).

$$\left\{ \begin{bmatrix} 0\\1\\-3\\1 \end{bmatrix}, \begin{bmatrix} 1\\-1\\0\\0 \end{bmatrix} \right\}$$

Pause video & try on your own (Use Algorithm 1 and follow Exercise 2) Slide 9/12

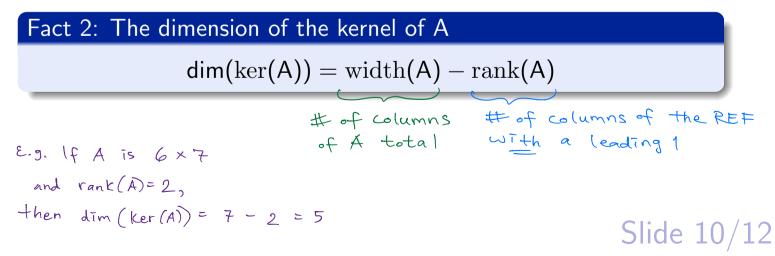
Exercise 3 Following Algorithm 1  $\mathsf{A} := \begin{vmatrix} 1 & 1 & 1 & 2 \\ 1 & 1 & 0 & -1 \\ 0 & 0 & 1 & 3 \end{vmatrix}$ **a** Find a basis for ker(A) and the dimension of ker(A). Step 1: Row reduce  $\begin{bmatrix} 1 & 1 & 1 & 2 & 0 \\ 1 & 1 & 0 & -1 & 0 \\ 0 & 0 & 1 & 3 & 0 \end{bmatrix} \xrightarrow{1} \begin{bmatrix} 1 & 1 & 1 & 2 & 0 \\ 0 & 0 & -1 & -3 & 0 \\ 0 & 0 & 1 & 3 & 0 \end{bmatrix} \xrightarrow{1} \begin{bmatrix} 1 & 1 & 1 & 2 & 0 \\ 0 & 0 & -1 & -3 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$  $R_2 \mapsto R_2 + R_2$ R2HY-RITRO R2 - R2 ref step 2: Find general solution (Choose your own variable names  $\begin{pmatrix} X_1 \\ X_2 \\ X_3 \\ X_4 \end{pmatrix}$  or  $\begin{pmatrix} a \\ b \\ c \\ d \end{pmatrix}$ ) Let  $X_2 = t$ ,  $X_4 = s$ . Back substitution:  $X_{1} + X_{2} + X_{3} + 2 \times A_{4} = 0 \Rightarrow X_{1} + t + (-3s) + 2s = 0 \Rightarrow X_{1} + t - s = 0$   $X_{3} + 3 \times A_{4} = 0 \Rightarrow X_{3} + 3s = 0 \Rightarrow X_{3} = 0$   $X_{3} + 3x_{4} = 0 \Rightarrow X_{3} + 3s = 0 \Rightarrow X_{3} = 0$ General solution is [-t-s] t -35 Step 3: Write general solution as linear combination with parameters as coefficients  $\begin{bmatrix} -t-s \\ t \\ -3s \\ s \end{bmatrix} = \begin{bmatrix} -t \\ t \\ 0 \\ 0 \end{bmatrix} + \begin{bmatrix} -s \\ 0 \\ -3s \\ s \end{bmatrix} = t \begin{bmatrix} -1 \\ 1 \\ 0 \\ 0 \end{bmatrix} + s \begin{bmatrix} -1 \\ 0 \\ -3 \\ 1 \end{bmatrix} \cdot \cdot \cdot \left\{ \begin{bmatrix} -1 \\ 0 \\ 0 \\ -3 \\ 1 \end{bmatrix} \right\} \begin{bmatrix} -1 \\ 0 \\ -3 \\ 1 \end{bmatrix} \left\{ \begin{bmatrix} -1 \\ 0 \\ -3 \\ 1 \end{bmatrix} \right\} for ker(A).$  $\therefore$  dim (ker(A)) = 2. - the end of Ex 3 (a) -

Exercise 3 **(5)** Check that the following set of vectors is a basis for ker(A). Since we already found dim (ker (A)) = 2 from part (a), let's apply the "2 out of 3 rule". First, we have to check that L is a subset of ker (A):  $\begin{bmatrix} 1 & 1 & 1 & 2 \\ 1 & 1 & 0 & -1 \\ 0 & 0 & 1 & 3 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \\ -3 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix} \sqrt{so} \begin{bmatrix} 0 \\ 1 \\ -3 \\ 1 \end{bmatrix} \text{ is in } \ker(A)$ So L is contained in  $\ker(A)$ .  $\begin{bmatrix} 1 & 1 & 2 \\ 1 & 1 & 0 & -1 \\ 0 & 0 & 1 & 3 \end{bmatrix} \begin{bmatrix} 1 \\ -1 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix} \sqrt{so} \begin{bmatrix} 1 \\ -1 \\ 0 \\ 0 \end{bmatrix} \text{ is in } \ker(A)$ Next, since we've seen that dim(ker(A)) = 2 and L has two vectors, we just need to check that L spans Ker(A) L is linearly independent. (I'll check this) Row reduce  $\begin{bmatrix} 0 & 1 & 0 \\ 1 & -1 & 0 \\ -3 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix} \longrightarrow \begin{bmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ -3 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \longrightarrow \begin{bmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \longrightarrow \begin{bmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \longrightarrow \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$  $\begin{bmatrix} 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 \end{bmatrix}$ So L is linearly independent. left of "1". Combined with the fact that L is a subset of Ker(A), L has dim (ker(A)) - many vectors, this chows that Lis a basis for ker (A). ~ end of Ex3(b)~

If we can find a basis, we can find the dimension.

dimension of ker(A)  $\stackrel{\texttt{def}}{=} \#$  of vectors in basis  $\stackrel{\texttt{Alge}}{=} \#$  of parameters in general solution = # columns in REF without a leading 1

That is...



We have five "standard" types of subsets which we know are always subspaces.

We now have methods to find a basis and the dimension of each of our general constructions of a subspace!

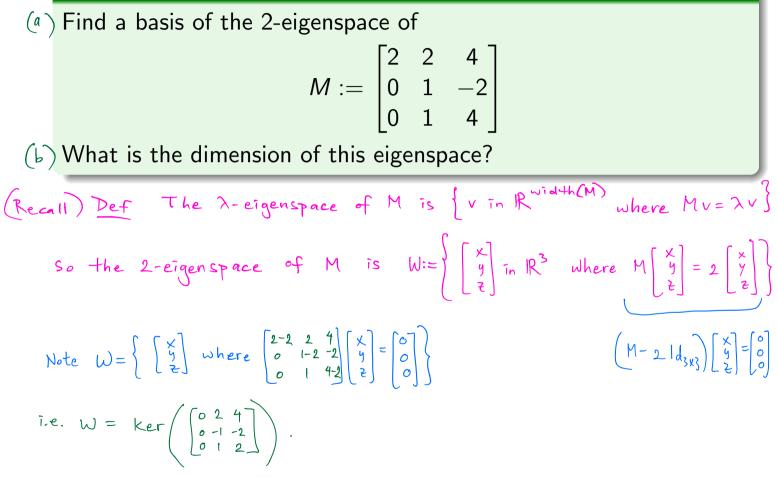
### Finding a basis and dimension for standard subspaces

	Subspace	Method to find one basis	Dimension
Algo 5	<sup>Le</sup> Image of A	Columns with L1 in REF	rank
Lec 136	Image of A 2,Span of $\{v_1,v_n\}$	$= \mathrm{im}(concatenation)$ , use $\uparrow$	$\uparrow$
New.	3, Kernel of A	Vectors in general solution	width – rank
in this	3. Kernel of A <sup>4,</sup> Solutions to HSLE	$= \ker(coeff.  matrix)$ , use $\uparrow$	$\uparrow$
lecture	5. $\lambda$ -eigenspace of A	$= \ker(A - \lambda Id), \text{ use } \uparrow$	$\uparrow$

In each case, the dimension is easy if we know a certain rank.

For a general subspace you encounter in the wild which is not one of these five types, we usually don't have an easy algorithm for finding a basis. Slide 11/12

### Exercise 4



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Algorithm 1 (Find a basis for the kernel of a matrix) says we just need to solve for  $\begin{bmatrix} 0 & 2 & 4 \\ 0 & -1 & -2 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$ write the solutions as linear combinations of a set S of vectors — the set S will be a basis for W. Row reduce : Note: If there had been K number of columns without a  $R_1 \mapsto \frac{1}{2} R_1$ leading 1,  $R_2 \mapsto R_1 + R_2$ 1st and 3rd columns dím(w) = k  $R_3 \mapsto -R_1 + R_3$ have no leading 1 Back substitution:  $y + 2z = 0 \implies y + 2r = 0 \implies y = -2r$ General solution =  $\begin{pmatrix} t \\ -2r \\ r \end{pmatrix} = \begin{pmatrix} t \\ 0 \\ + \end{pmatrix} + \begin{pmatrix} 0 \\ -2r \\ -2r \\ r \end{pmatrix} = t \begin{vmatrix} 1 \\ 0 \\ + \\ 0 \\ 1 \end{pmatrix} + r \begin{pmatrix} 3 \\ -2 \\ 1 \\ -2 \\ 1 \end{pmatrix} .$ a) A basis for W is  $\begin{cases} 1 \\ 0 \\ -2 \\ 1 \end{cases}$ . b so  $\dim(W) = 2$ . Let's do a sanity check. Check that at least  $\left\{ \begin{bmatrix} 1\\0\\0 \end{bmatrix}, \begin{bmatrix} 0\\-2\\1 \end{bmatrix} \right\}$  is a subset of the 2-eigenspace of M. Check:  $M \begin{bmatrix} 0 \\ 0 \end{bmatrix} \stackrel{?}{=} 2 \begin{bmatrix} 0 \\ 0 \end{bmatrix}$  and  $M \begin{bmatrix} 0 \\ -2 \\ 1 \end{bmatrix} = 2 \begin{bmatrix} 0 \\ -2 \\ 1 \end{bmatrix}$  $\begin{bmatrix} 2 & 2 & 4 \\ 0 & 1 & -2 \\ 0 & 1 & 4 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 2 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 2 \\ 0 \\ 0 \end{bmatrix}$ So at least our set of two vectors is a subset of W,  $\begin{bmatrix} 2 & 2 & 4 \\ 0 & 1 & -2 \\ 0 & 1 & 4 \end{bmatrix} \begin{bmatrix} 0 \\ -2 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ -4 \\ 2 \end{bmatrix} = 2 \begin{bmatrix} 0 \\ -2 \\ 1 \end{bmatrix} \checkmark$