Lecture 13b

Bases (Basis computations and dimensions)

Review

Definition Basis (plural: bases)

A basis for a subspace V is a linearly independent spanning set of V.

A subspace can have many different bases, but ...

Theorem 4 (The Invariance Theorem)

Any two bases for a subspace contain the same number of vectors.

Definition Dimension

The dimension of a subspace V is the number of vectors in any basis of V.

Goal for Lecture 13b

How to quickly find a basis for various types of subspaces (and compute the dimension of a subspace).

Fact (Bases from spanning sets)

Every spanning set for a subspace V contains a basis for V.

That is, you can make a basis by throwing out enough elements.

Example

A spanning set of
$$\mathbb{R}^2$$
: $\left\{ \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ -1 \end{bmatrix}, \begin{bmatrix} 0 \\ 2 \end{bmatrix}, \begin{bmatrix} 5 \\ 4 \end{bmatrix} \right\}$
One subset that is a basis: $\left\{ \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ -1 \end{bmatrix} \right\}$

Another subset that is a basis: $\left\{ \begin{bmatrix} 1\\0 \end{bmatrix}, \begin{bmatrix} 0\\2 \end{bmatrix} \right\}$

Spanning sets and dimension

A spanning set for V must contain at least $\dim(V)$ -many vectors.

The previous idea is pretty slow; we can speed it up.

Algorithm 5: Finding a basis from a spanning set

Let $\{v_1, v_2, ..., v_k\}$ be a spanning set for V.

- Concatenate the vectors into a matrix A.
- Put A into REF, call it B.
- Check which columns of B contain a leading 1
- Keep the vectors in the original set corresponding to <u>only</u> those columns.

The result is a basis for V.

Exercise 6 (Apply Algorithm 5)

Let

$$V := \operatorname{span} \left\{ \begin{bmatrix} 1 \\ 4 \\ 7 \end{bmatrix}, \begin{bmatrix} 2 \\ 5 \\ 8 \end{bmatrix}, \begin{bmatrix} 3 \\ 6 \\ 9 \end{bmatrix} \right\}$$

Find a basis for V.

Exercise 6 (Apply Algorithm 5)

Let
$$V := \operatorname{span} \left\{ \begin{bmatrix} 1 \\ 4 \\ 7 \end{bmatrix}, \begin{bmatrix} 2 \\ 5 \\ 8 \end{bmatrix}, \begin{bmatrix} 3 \\ 6 \\ 9 \end{bmatrix} \right\}$$

Find a basis for V .

(I will follow Algorithm 5)

Let $A := \begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \\ 7 & 8 & 9 \end{bmatrix}$

Concatenate the vectors
$$\begin{bmatrix} 1 \\ 4 \\ 5 \\ 6 \end{bmatrix}$$
(We row reduce the concatenation)

We get an REF matrix whose 1st and 2nd columns have leading 1s, not the 3rd column.

An REF matrix

The set $\left\{ \begin{bmatrix} 1 \\ 4 \\ 7 \end{bmatrix}, \begin{bmatrix} 2 \\ 5 \\ 8 \end{bmatrix} \right\}$ is a basis for V .

Reminder: This means the dimension of V is 2.

Recall fact: Span equals image of concatenation

For example,

$$\operatorname{span} \left\{ \begin{bmatrix} 1\\4\\7\\-1 \end{bmatrix}, \begin{bmatrix} 2\\5\\8\\0 \end{bmatrix}, \begin{bmatrix} 3\\6\\9\\2 \end{bmatrix} \right\} = \operatorname{im} \left(\begin{bmatrix} 1&2&3\\4&5&6\\7&8&9\\-1&0&2 \end{bmatrix} \right)$$

We can use this to reformulate the last argument.

Algorithm 5, rephrased: Finding a basis for an image

Let A be a matrix. One basis for im(A) is given by the columns of A in which an REF of A contains a leading 1.

This is just one of many bases for im(A)!

Algorithm 5, rephrased: Finding a basis for an image

Let A be a matrix. One basis for im(A) is given by the columns of A in which an REF of A contains a leading 1.

Exercise 7(a) (Apply Algorithm 5, rephrased)

Let

$$M := \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 2 & 3 & 4 \\ 1 & 3 & 5 & 7 \\ 1 & 4 & 7 & 10 \end{bmatrix}$$

Find a basis for im(M), the image of M.

Step 1: Row reduce M until we get an REF matrix.

$$\begin{bmatrix}
1 & 1 & 1 & 1 \\
1 & 2 & 3 & 4 \\
1 & 3 & 5 & 7 \\
1 & 4 & 7 & 10
\end{bmatrix}
\longrightarrow
\begin{bmatrix}
0 & 1 & 2 & 3 \\
0 & 2 & 4 & 6 \\
0 & 3 & 6 & 9
\end{bmatrix}
\longrightarrow
\begin{bmatrix}
0 & 1 & 2 & 3 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0
\end{bmatrix}$$
Step 2: Note that only columns 1 and 2 of an REF of M have a leading 1.

$$R_2 \mapsto -R_1 + R_2$$

$$R_3 \mapsto -2R_2 + R_3$$

$$R_4 \mapsto -R_1 + R_4$$

$$R_4 \mapsto -R_1 + R_4$$
Slide 6/1

- ➤ Algorithm 5 tells us how to produce one basis for im(A). The number of vectors in this basis is the number of leading 1s in an REF of A, that is, the rank of A.
- ▶ If we know the number of vectors in one basis for im(A), we know the number of vectors in every basis, that is, the dimension. So ...

Theorem 6: Dimension of the image of a matrix

The dimension of the image of A is equal to the rank of A.

This is often used as the definition of rank(A).

Theorem 6: Dimension of the image of a matrix

The dimension of the image of A is equal to the rank of A.

Exercise 7(b) (Apply Theorem 6)

Find the dimension of the image of the following matrix. (Pretend you haven't seen the previous slide.)

$$M := \begin{vmatrix} 1 & 1 & 1 & 1 \\ 1 & 2 & 3 & 4 \\ 1 & 3 & 5 & 7 \\ 1 & 4 & 7 & 10 \end{vmatrix}$$

(We need to compute the rank of M.) Compute rank by first finding an REF matrix.

$$\begin{bmatrix}
1 & 1 & 1 & 1 \\
1 & 2 & 3 & 4 \\
1 & 3 & 5 & 7 \\
1 & 4 & 7 & 10
\end{bmatrix}
\rightarrow
\begin{bmatrix}
1 & 1 & 1 & 1 \\
0 & 1 & 2 & 3 \\
0 & 2 & 4 & 6 \\
0 & 3 & 6 & 9
\end{bmatrix}
\rightarrow
\begin{bmatrix}
1 & 1 & 1 & 1 \\
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0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0
\end{bmatrix}$$

$$\begin{bmatrix}
An REF matrix equivalent \\
to M has two leading 1s$$

$$rank(M) = 2 . So the dimension of im(M) is 2.$$

of a subspace V of V

In Algorithm 5, we start with a spanning set S. Then construct a basis contained in S (by "keeping only the columns with a leading 1 in the REF").

Fact (Bases from linearly independent set)

Every linearly independent subset of V is contained in a basis for V.

Idea: We can start with a linearly independent set. If it's not already a basis, construct a basis by adding vectors which would turn it into a basis.

Example

A linearly independent set,
$$S$$
: $\left\{ \begin{bmatrix} 1\\0\\0 \end{bmatrix}, \begin{bmatrix} 1\\1\\1 \end{bmatrix} \right\}$, a subset of \mathbb{R}^3 A basis for \mathbb{R}^3 containing S : $\left\{ \begin{bmatrix} 1\\0\\0 \end{bmatrix}, \begin{bmatrix} 1\\1\\1 \end{bmatrix}, \begin{bmatrix} 0\\0\\1 \end{bmatrix} \right\}$
Another basis for \mathbb{R}^3 containing S : $\left\{ \begin{bmatrix} 1\\0\\0 \end{bmatrix}, \begin{bmatrix} 1\\1\\1 \end{bmatrix}, \begin{bmatrix} 7\\5\\3 \end{bmatrix} \right\}$

Unlike Algorithm 5, we have no general algorithm for this. But it is still a useful fact.

of

Since every linearly independent subset $^{\prime}V$ is contained in some basis of V, we can say ...

Fact: Linearly independent sets and dimension

A linearly independent set in V has at most $\dim(V)$ -many vectors.

Example

The following set cannot be linearly independent, as $\dim(\mathbb{R}^3) = 3$.

$$\left\{ \begin{bmatrix} 1\\0\\0 \end{bmatrix}, \begin{bmatrix} 1\\1\\1 \end{bmatrix}, \begin{bmatrix} 0\\0\\1 \end{bmatrix}, \begin{bmatrix} 3\\-2\\3 \end{bmatrix} \right\}$$

Fact: A bound on dimension of subspaces

A subspace of \mathbb{R}^n must have dimension at most n.

Reason: A basis for a subspace of \mathbb{R}^n is linearly independent in \mathbb{R}^n .

Summary: Bounds on special sets

$$\#(\text{vectors in a LI set in } V) \leq \dim(V) \leq \#(\text{vectors in a SS for } V)$$

$$\text{Integrally independent}$$

We can say something stronger if there is equality.

Theorem 7 (Special sets of just the right size)

- If a spanning set for V has $\dim(V)$ -many vectors (the smallest size possible for a spanning set), then it must be a basis.
- If a linearly independent subset of V has $\dim(V)$ -many vectors (the largest size possible for a linearly independent subset), then it must be a basis.

Why?

Because you can't delete/add vectors and still have $\dim(V)$ -many.

I find it useful to restate this in the following way.

Theorem 7, restated (The '2 out of 3 Rule' for checking a basis)

Let $v_1, v_2, ..., v_k$ be elements in V. If any 2 of the following 3 properties are true, then the 3rd one is automatically true.

- $v_1, v_2, ..., v_k$ is a spanning set.
- $v_1, v_2, ..., v_k$ is linearly independent.
- The dimension of V is k.

So, if any 2 of these are true, then $v_1, v_2, ..., v_k$ is a basis for V.

If you know dim(V) and you want to check if a subset S of V is a basis...

- \triangleright If S has the wrong number of vectors, it's not basis.
- ▶ If S has the right number of vectors, you only need to check one of the two conditions (and one is usually easier).

Let's practice applying the '2 out of 3 rule'.

Exercise 8

Let W be the subspace of \mathbb{R}^3 consisting of vectors whose entries sum to 0. Let

$$T := \left\{ \begin{bmatrix} -1 \\ 3 \\ -2 \end{bmatrix}, \begin{bmatrix} 2 \\ -1 \\ -1 \end{bmatrix} \right\}$$

We see that the vectors of T are in W. Use the '2 out of 3 rule' to check whether the set T is a basis for W.

We check: Both
$$\begin{bmatrix} -1\\3\\-2 \end{bmatrix}$$
 and $\begin{bmatrix} 2\\-1\\-1 \end{bmatrix}$ are in $W \begin{pmatrix} -1+3-2=0\\2-1-1=0 \end{pmatrix}$.

Recall: In Exercise 1 of Lecture 13a we showed that a set of two vectors is a basis for W. This means $\dim(W) = 2$

Our set $T = \left\{ \begin{bmatrix} 1 \\ 2 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \end{bmatrix} \right\}$ has two vectors (same number as $dTm(\omega)$).

By the "2 out of 3 rule", we only need to check

- Our set is linearly independent | We only need to check one of them-
- · Our set is a spanning set for W

Which one is easier to check? In this case, linear independence is slightly easier to check

We'll check that our set T is linearly independent:

(We need to show that the equation $X\begin{bmatrix} -1\\3\\-2\end{bmatrix} + y\begin{bmatrix} 2\\-1\\-1\end{bmatrix} = \begin{bmatrix} 0\\0\\-2-1\end{bmatrix}$, i.e. $\begin{bmatrix} -1&2\\3&-1\\-2&-1\end{bmatrix} \begin{bmatrix} X\\y\end{bmatrix} = \begin{bmatrix} 0\\0\\0\end{bmatrix}$

has one unique solution, the trivial solution x=0 y=0

Row reduce the augmented matrix

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This shows that our set $T = \begin{cases} \begin{bmatrix} -1 \\ 3 \\ -2 \end{bmatrix}, \begin{bmatrix} 2 \\ -1 \\ -1 \end{cases} \end{cases}$ is linearly independent.

By the "2 out of 3 rule", since T has dim(W)-many vectors, our set T is a basis of W.

(We can deduce that our set is a basis of W without needing to check that it is a spanning set for W!)

— the end of solution + instructor's comments —

Exercise 8

Let W be the subspace of \mathbb{R}^3 consisting of vectors whose entries sum to 0. Let

$$T := \left\{ \begin{bmatrix} -1\\3\\-2 \end{bmatrix}, \begin{bmatrix} 2\\-1\\-1 \end{bmatrix} \right\}$$

We see that the vectors of T are in W. Use the '2 out of 3 rule' to check whether the set T is a basis for W.

SAMPLE STUDENT HOMEWORK ANSWER

We check: Both
$$\begin{bmatrix} -1\\3\\-2 \end{bmatrix}$$
 and $\begin{bmatrix} 2\\-1\\-1 \end{bmatrix}$ are in $W \begin{pmatrix} -1+3-2=0\\2-1-1=0 \end{pmatrix}$.

In Exercise 1 of Lecture 13a we showed that a set of two vectors is a basis for W. This means dim(W) = 2.

We'll check that our set T is linearly independent:

Row reduce the augmented matrix

$$\begin{bmatrix}
1 & 2 & 0 \\
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vertical line.

So, T is linearly independent.

By the "2 out of 3 rule", since T has dim(w)-many vectors, our set T is a basis of W.

- end of sample answer -

From class lecture on Friday, Nov 6

Exercise 9 Suppose a Subspace V has a spanning set $S := \begin{cases} 67 \begin{bmatrix} 1 \\ 7 \\ 8 \end{bmatrix} \\ 9 \end{bmatrix} \begin{pmatrix} 6 \\ 7 \\ 8 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ 2 \\ 3 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ 1 \\ 1 \end{pmatrix}$

Find a basis for V (which is contained in S)

Solution

Algorithm 5: Finding a basis from a spanning set

Let $\{v_1, v_2, ..., v_k\}$ be a spanning set for V.

- Concatenate the vectors into a matrix A.
- Put A into REF, call it B.
- Check which columns of B contain a leading 1
- Keep the vectors in the original set corresponding to <u>only</u> those columns.

The result is a basis for V.

Let
$$A := \begin{bmatrix} 6 & 1 & 6 & 0 & 0 & 2 \\ 7 & 2 & 7 & 1 & 1 & 0 \\ 8 & 3 & 8 & 2 & 1 & 1 \\ 9 & 4 & 0 & 3 & 1 & 1 \end{bmatrix}$$

A concatenation of the vectors in S

Column 4 and column 6 of B have no leading 1. So we remove the 4th and 6th column vectors of A from S

So
$$\begin{cases} \begin{bmatrix} 6 \\ 7 \\ 8 \\ 9 \end{bmatrix}, \begin{bmatrix} 1 \\ 2 \\ 3 \\ 4 \end{bmatrix}, \begin{bmatrix} 6 \\ 7 \\ 8 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} \end{cases}$$
 is a basis for V .

Exercise 10 Suppose W is a subspace and dim (w) = 4 (Note: this means any basis for vectors). $\begin{cases} \begin{bmatrix} 6 \\ 7 \\ 8 \\ 9 \end{bmatrix}, \begin{bmatrix} 1 \\ 2 \\ 3 \\ 4 \end{bmatrix}, \begin{bmatrix} 6 \\ 7 \\ 8 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 1 \\ 1 \end{bmatrix} \end{cases}$ be a subset of W.

Solution

Check whether T is a basis for W.

Theorem 7, restated (The '2 out of 3 Rule' for checking a basis)

Let $v_1, v_2, ..., v_k$ be elements in V. If any 2 of the following 3 properties are true, then the 3rd one is automatically true.

- $v_1, v_2, ..., v_k$ is a spanning set.
- $v_1, v_2, ..., v_k$ is linearly independent.
- The dimension of V is k.

So, if any 2 of these are true, then $v_1, v_2, ..., v_k$ is a basis for V.

- · Check that the number of vectors in T is dim(W).
- · Check that T is a linearly independent set.

That is, check that

$$\begin{bmatrix} 6 & 1 & 6 & 0 \\ 7 & 2 & 7 & 1 \\ 8 & 3 & 8 & 1 \\ 9 & 4 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ \omega \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}$$

The REF having a leading 1 in every column to the left of the vertical line means the system has one unique solution.

Hence T is linearly independent.

Since dim(W) is equal to the number of vectors in T and T is linearly independent, the set T is a basis for W.

— the end—