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# Box-ball systems and RSK tableaux

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**Abstract.** A box-ball system is a collection of discrete time states. At each state, we have a collection of countably many boxes with each integer from 1 to *n* assigned to a unique box; the remaining boxes are considered empty. A permutation on *n* objects gives a box-ball system state by assigning the permutation in one-line notation to the first *n* boxes. After a finite number of steps, the system will reach a so-called soliton decomposition which has an integer partition shape. We prove the following: if the soliton decomposition of a permutation is a standard Young tableau or if its shape coincides with its Robinson–Schensted (RS) partition, then its soliton decomposition and its RS insertion tableau are equal. We study the time required for a box-ball system to reach a steady state. We also generalize Fukuda's single-carrier algorithm to algorithms with more than one carrier.

Keywords: box-ball system, RSK correspondence, tableaux, Greene's theorem

## 1 Introduction

A *box-ball system* is a collection of discrete time states. At each state, we have a collection of countably many boxes with each integer from 1 to *n* assigned to a unique box; the remaining boxes are considered "empty." A permutation  $\pi \in S_n$  gives a box-ball system state by assigning the permutation in one-line notation to the first *n* boxes. We apply a BBS move in the forward direction (letting time *t* increase by 1) by moving each integer from smallest to largest to its nearest empty space to the right. See Figure 1.



**Figure 1:** Performing a forward BBS move on  $\pi = 452361$ 

A *soliton* is a consecutive increasing sequence which is preserved by all subsequent BBS moves. After a finite number of BBS moves, a box-ball system containing a configuration  $\pi$  will reach a steady state, decomposing into solitons whose sizes are weakly increasing from left to right, that is, forming an integer partition shape [8]. See Figure 2.



**Figure 2:** Forward BBS moves for  $\pi = 452361$ . Steady-state is achieved at t = 3.

From such a state, we can construct the *soliton decomposition* of a permutation, denoted SD, by stacking solitons. We obtain a tableau where each row is increasing but which may or may not be standard. For example, the soliton decomposition of the box-ball system containing  $\pi = 452361$  shown in Figure 2 is

$$SD(\pi) = \begin{bmatrix} 1 & 3 & 6 \\ 2 & 5 \\ 4 \end{bmatrix}$$
.

The celebrated Robinson–Schensted (RS) insertion algorithm is a bijection  $\pi \mapsto (P(\pi), Q(\pi))$  from  $S_n$  onto pairs of standard Young tableaux of size n [7]. The tableau  $P(\pi)$  is called the *insertion tableau* or *P*-*tableau* of  $\pi$ , and the tableau  $Q(\pi)$  is called the *recording tableau* or *Q*-*tableau* of  $\pi$ . For example, if  $\pi = 452361$ , then

$$P(\pi) = \begin{bmatrix} 1 & 3 & 6 \\ 2 & 5 \\ 4 \end{bmatrix}, \quad Q(\pi) = \begin{bmatrix} 1 & 2 & 5 \\ 3 & 4 \\ 6 \end{bmatrix}$$

The *reading word* of a Young tableau is the permutation formed by concatenating the rows of the tableau from bottom to top. E.g., the tableau  $P(\pi)$  has reading word 425136.

#### **1.1** BBS soliton partition and localized version of Greene's theorem

Greene famously showed that the RS partition of a permutation and its conjugate record the numbers of disjoint unions of increasing and decreasing sequences of the permutation [3, Theorem 3.1]. Lewis, Lyu, Pylyavskyy, and Sen recently showed that the partition shape of the soliton decomposition of a permutation and its conjugate record a pair of similar collections of permutation statistics [6, Lemma 2.1]. They studied an alternate version of the box-ball system, so we reframe their result to match our box-ball convention.

**Definition 1.1.** For  $\pi = \pi_1 \cdots \pi_n \in S_n$  and  $k \ge 1$ , we define

$$I_k = \max_{\pi = u_1 \mid \cdots \mid u_k} \sum_{j=1}^k i(u_j),$$

where  $i(u_j)$  is the length of the longest increasing subsequence in  $u_j$  and the maximum is taken over ways of writing  $\pi$  as a concatenation  $u_1 | \cdots | u_k$  of consecutive subsequences. That is, we consider all ways to break  $\pi$  into k consecutive subsequences, sum the  $i(u_j)$  values for each way, and let  $I_k$  be the maximum sum. We also define

$$D_k = \max_{\pi = u_1 \sqcup \cdots \sqcup u_k} \sum_{j=1}^k d(u_j),$$

where  $d(u_j) = 1 + |\{\text{descents in } u_j\}|$  and the maximum is taken over ways to write  $\pi$  as the union of disjoint subsequences  $u_i$  of  $\pi$ . Notice that we only require  $u_1, \ldots, u_k$  to be disjoint, *not* consecutive. We then form the sequences

$$\lambda_{BBS}(\pi) = (I_1, I_2 - I_1, I_3 - I_2, \dots)$$
 and  $\mu_{BBS}(\pi) = (D_1, D_2 - D_1, D_3 - D_2, \dots).$ 

**Lemma 1.2** (Corollary of [6, Lemma 2.1]). If  $\pi \in S_n$ , then  $SD(\pi)$  has the shape of a partition and this shape sh  $SD(\pi)$  is equal to  $\lambda_{BBS}(\pi)$ . Furthermore, the conjugate of sh  $SD(\pi)$  is equal to  $\mu_{BBS}(\pi)$ .

### 1.2 When the soliton decomposition and RS insertion tableau coincide

In general, the soliton decomposition and the RS insertion tableau of a permutation do not coincide. We study the SD-equivalence classes of  $S_n$  using the notion of reading words, standard tableaux, and Knuth moves.

First, we show that reading words of standard tableaux have well-behaved soliton decomposition.

**Theorem 1.3.** A permutation r reaches its soliton decomposition at time t = 0 if and only if r is the reading word of a standard Young tableau.

In particular, if *r* is the reading word of a tableau *T*, then SD(r) = T and so SD(r) = T = P(r). This shows that there are at least as many SD-equivalence classes as standard Young tableaux.

In Section 2.1, we generalize Theorem 1.3 to standard skew tableaux.

Surprisingly, having a standard tableau for a soliton decomposition or having a soliton decomposition shape which equals the RS partition shape is enough to guarantee that the soliton decomposition and the RS insertion tableau coincide.

**Theorem 1.4.** Suppose  $\pi$  is a permutation. Then the following are equivalent:

1.  $SD(\pi) = P(\pi)$ .

- 2.  $SD(\pi)$  is a standard tableau.
- 3. The shape of  $SD(\pi)$  equals the shape of  $P(\pi)$ .

See Section 3 for a proof of Theorem 1.4. The proof that (3) implies (2) was suggested to the authors by Darij Grinberg.

#### **1.3** Three types of Knuth moves

The RS insertion tableau is preserved under any Knuth move [4]. In contrast, the soliton decomposition is only preserved under certain types of Knuth moves.

**Definition 1.5** (Knuth Moves). Suppose  $\pi$ ,  $\sigma \in S_n$  and x < y < z.

•  $\pi$  and  $\sigma$  differ by a Knuth relation of the **first kind** (*K*<sub>1</sub>) if

 $\pi = \pi_1 \dots yxz \dots \pi_n$  and  $\sigma = \pi_1 \dots yzx \dots \pi_n$ 

•  $\pi$  and  $\sigma$  differ by a Knuth relation of the **second kind** (*K*<sub>2</sub>) if

 $\pi = x_1 \dots xzy \dots x_n$  and  $\sigma = x_1 \dots zxy \dots x_n$ 

•  $\pi$  and  $\sigma$  differ by Knuth relations of **both kinds** (*K*<sub>*B*</sub>) if

 $\pi = x_1 \dots y_1 x z y_2 \dots x_n$  and  $\sigma = x_1 \dots y_1 z x y_2 \dots x_n$ 

where  $x < y_1 < z$  and  $x < y_2 < z$ .

Using the localized version of Greene's Theorem given in Section 1.1, we prove a partial characterization of the shape of SD in terms of types of Knuth moves.

**Theorem 1.6.** If  $\pi$  and w are related by a sequence of  $K_1$  or  $K_2$  moves (but not  $K_B$ ), then  $\operatorname{sh} \operatorname{SD}(\pi) = \operatorname{sh} \operatorname{SD}(w)$ . If  $\pi$  and w are related by a sequence of Knuth moves containing an odd number of  $K_B$  moves, then  $\operatorname{sh} \operatorname{SD}(\pi) \neq \operatorname{sh} \operatorname{SD}(w)$ .

This allows us to use Knuth moves to find more permutations whose soliton decomposition and RS insertion tableau coincide. **Corollary 1.7** (Corollary of Theorem 1.4 and Theorem 1.6). Suppose  $\pi \in S_n$  is a sequence of  $K_1$  or  $K_2$  moves (but not  $K_B$ ) away from the reading word of a standard tableau T. Then  $SD(\pi) = P(\pi) = T$ . If  $\pi \in S_n$  is related to the reading word of a standard tableau by a sequence of Knuth moves such that an odd number of the moves are  $K_B$  moves, then  $SD(\pi) \neq P(\pi) = T$ .

**Proposition 1.8.** Suppose  $\pi \in S_n$  is the reading word of a standard tableau. Let  $\pi'$  be a permutation one  $K_1$  or  $K_2$  (but not  $K_B$ ) move away from  $\pi$ . Then  $\pi'$  reaches its steady-state after one BBS move.

## 2 Steady states

We study the steady-state configurations and the minimum time-steps to go from a permutation to its soliton decomposition.

### 2.1 Standard tableaux of skew shapes

A BBS state can be represented as an array containing the integers from 1 to n as follows: scanning the boxes from right to left, each string of increasing integers becomes a row in the array. A string of k empty boxes indicates that the next row below should be shifted k steps to the left. Note that this array has increasing rows but not necessarily increasing columns; it also may not have a valid skew shape. The following is a generalization of Theorem 1.3.

**Theorem 2.1.** *A BBS configuration C is in steady-state if and only if the associated array has rows of weakly decreasing length, and has increasing columns.* 

Note that in this case, the array will be a standard skew tableau.

**Example 2.2** (of Theorem 2.1). Let  $\pi = 521643$ . The soliton decomposition  $SD(\pi)$  is the tableau given in Figure 3. Note that  $C = \dots e52e6413ee$  is a steady-state configuration, where we represent empty boxes with the symbol *e*. The configuration *C* yields the standard skew tableau in Figure 4. Conversely, if given the skew tableau in Figure 4 (with no knowledge of the original permutation), we may conclude the corresponding BBS configuration, 52e6413, is in steady-state.



Figure 3:  $SD(\pi)$ 

Figure 4: Resultant skew tableau

# 2.2 Permutations with *n*–3 time steps

We use the RS correspondence to associate a permutation in  $S_n$  to each standard tableau of shape (n - 3, 2, 1) and show that its box-ball steady-state value is n - 3. We conjecture that all other permutations in  $S_n$  have steady-state value smaller than n - 3.

**Definition 2.3.** If  $n \ge 5$ , let  $Q_0 := Q_0(n)$  denote the tableau



Let  $S_n(Q_0)$  be the set of permutations  $\pi \in S_n$  such that its recording tableau  $Q(\pi)$  is equal to  $Q_0$ .

**Example 2.4.** For n = 5, the five permutations of this set are the following.

45132 25143 35142 45231 35241

For n = 6, the sixteen permutations of this set are as follows.

451362	351462	352461	261354	461253	261453	461352	362451
251463	452361	561243	361254	561342	361452	562341	462351

**Remark 2.5.** It follows from Definition 2.3 that the RS algorithm induces a bijection from  $S_n(Q_0)$  to the set of standard tableaux of shape (n - 3, 2, 1), see [10].

**Proposition 2.6.** Every permutation in  $S_n(Q_0)$  has steady-state value of n-3.

The following conjecture has been computationally verified up to n = 10.

**Conjecture 2.7.** A permutation not in  $S_n(Q_0)$  has steady-state value smaller than n-3.

# 3 Proof of Theorem 1.4

#### 3.1 Fukuda's carrier algorithm as a sequence of Knuth moves

Some of our proofs use an algorithm called the *carrier algorithm* which was first introduced in [9] and generalized in [2, Section 3.3]. The carrier algorithm is used to calculate the t = k + 1 state of a BBS given the t = k state. In section 4.1, we introduce a multi-carrier generalization of the carrier algorithm called the *M*-carrier algorithm (Algorithm 4.1). When restricted to M = 1, our algorithm coincides with the original carrier algorithm.

**Example 3.1** (Carrier Algorithm [2]). We compute the t = 3 configuration of the boxball system from Figure 2 by applying the carrier algorithm to the t = 2 configuration. Following Algorithm 4.1 for M := 1, we set B := eeee452ee136... The carrier algorithm then proceeds as follows:

begin Process 1: insertion process

eeeeee eeee452ee136

e eeeeee eee452ee136

eeee eeeeee 452ee136

eeeee 4eeeee 52ee136

*eeeeee* 45*eeee* 2*ee*136 *eeeeee* 25*eeee ee*136

eeeeee42 5eeeee e136

eeeeee425 eeeeee 136

eeeee425eee 136eee

begin Process 2: flushing process

 $eeeeee425eee 136eee \leftarrow e$  $eeeeee425eee1 36eeee \leftarrow e$  $eeeeee425eee13 6eeeee \leftarrow e$ eeeeee425eee136 eeeeee**end** flushing process

end insertion process

After each insertion, the sequence in the carrier is weakly increasing.

**Remark 3.2** ([2, Remark 4]). The carrier algorithm can be viewed as a sequence of Knuth moves (if we think of the elements in and to the left of the carrier as a single sequence.) Consider the insertion of p into the carrier. If the carrier contains a number greater than p, then the insertion process is equivalent to applying a sequence of  $K_1$  moves

$$\cdots C_p z_1 \cdots z_{l-1} z_l p \\ \cdots C_p z_1 \cdots z_{l-1} p z_l \\ \vdots \\ \cdots C_p p z_1 \cdots z_{l-1} z_l$$

followed by a sequence of  $K_2$  moves:

$$\begin{array}{c}
x_1 \cdots x_{m-1} x_m C_p p \cdots \\
x_1 \cdots x_{m-1} C_p x_m p \cdots \\
\vdots \\
C_p x_1 \cdots x_{m-1} x_m p \cdots .
\end{array}$$

Otherwise, if *p* is greater than or equal to every element in the carrier, we apply the trivial transformation:

$$\begin{array}{c} x \cdots p \\ x \cdots p \end{array}$$

**Lemma 3.3** ([2, Theorem 3.1]). *The RS insertion tableau is a conserved quantity under the time evolution of the BBS, that is, it is preserved under each BBS move.* 

#### 3.2 Soliton decompositions and RSK tableaux

The following gives a characterization of permutations whose soliton decompositions are equal to their RS insertion tableaux.

**Theorem 1.4.** Let  $\pi$  be a permutation. Then the following are equivalent:

1. 
$$SD(\pi) = P(\pi)$$
.

- 2.  $SD(\pi)$  is a standard tableau.
- *3. the shape of*  $SD(\pi)$  *equals the shape of*  $P(\pi)$ *.*

**Lemma 3.4** (Due to Darij Grinberg). Suppose *S* is a row-strict tableau of a partition, that is, every row is increasing (with no restrictions on the columns). Let *r* be the reading word of the tableau *S*. Let P(r) be the RS insertion tableau of *r*. If the shape of *S* equals the shape of P(r), then *S* is standard.

*Proof of Theorem* 1.4. Certainly (1) implies (2) and (3). First, we show that (2) implies (1). Suppose that  $SD(\pi)$  is a standard tableau. Let *r* denote the reading word of  $SD(\pi)$ . We know that *r* is the order in which the elements of  $\pi$  are configured once we reach a steady state. By Lemma 3.3,  $P(\pi) = P(r)$ . Since *r* is the reading word of  $SD(\pi)$ , we have  $P(r) = SD(\pi)$  by Theorem 1.3. Therefore  $P(\pi) = SD(\pi)$ .

Next, we show that (3) implies (2). Suppose that the shape of  $SD(\pi)$  equals the shape of  $P(\pi)$ . Let *r* be the reading word of  $SD(\pi)$ . Lemma 3.3 tells us that the RSK insertion tableau is preserved under a sequence of box-ball moves, so  $P(\pi) = P(r)$  and, in particular,  $sh P(\pi) = sh P(r)$ . By assumption, we have  $sh SD(\pi) = sh P(r)$ . Since  $SD(\pi)$  is a row-strict tableau and *r* is the reading word of  $SD(\pi)$ , Lemma 3.4 tells us that  $SD(\pi)$  is standard.

### 4 Multi-carrier algorithms

In this section, we give insertion algorithms that can help us study steady-states and soliton decompositions.

### 4.1 M-carrier algorithm

In this section, we define the *M*-carrier algorithm which is equivalent to performing the carrier algorithm *M* times (Proposition 4.3). In addition to improving the efficiency of the box-ball system calculations, the *M*-carrier algorithm enables us to compare the RSK-insertion algorithm and the box-ball system more directly. Given a large enough *M*, the *M*-carrier algorithm gives us an RSK-like insertion algorithm which sends a permutation to its soliton decomposition.

Algorithm 4.1 (The *M*-carrier algorithm).

1: **begin** *M*-carrier algorithm

```
2: | Set e \coloneqq n+1
```

- 3: | Set B := the t = k configuration of the BBS, replacing empty boxes with e's, so that the first (leftmost) element of B is the integer in the first (leftmost) non-empty box in the configuration and the last (rightmost) element of B is the integer in the last (rightmost) non-empty box of the configuration at time k.
- 4: | Denote  $B_i$  as the *i*<sup>th</sup> leftmost element of *B* and let there be  $\ell$  elements of *B*.
- 5: | Fill *M* adjacent "carriers"—depicted \_\_\_\_\_with *n* copies of *e*.
- 6: | Denote this string of carriers C
- 7: | Denote the rightmost carrier  $c_1$ , and in general, the  $j^{\text{th}}$  rightmost carrier  $c_j$ .
- 8: Write *B* to the right of C

```
9: | begin Process 1: insertion process
```

10:	<b>for all</b> <i>i</i> in $\{1, 2,, \ell\}$ <b>do</b>
11:	Set $p := B_i$

12:		begin element ejection process

13:		<b>for all</b> <i>j</i> in $\{1, 2,, M\}$ <b>c</b>	lo

- 14:  $| | | | if an element in c_j$  is larger than p then
  - | | | | Set  $s \coloneqq$  the smallest element in  $c_j$  larger than p
  - | | | Eject *s* by replacing it with *p* and setting  $p \coloneqq s$

| | | else

15:

16:

17:

18:

20:

21: 22:

```
| | | | Set s \coloneqq the smallest element in c_j.
```

19: | | | | | | Remove *s* from  $c_i$ 

```
|||▶ Note: There are now n - 1 elements in c_j.||||Place p in the rightmost location in c_j.|||▶ Note: There are now n elements in c_j.
```

23:  $| | | | | Set p \coloneqq s$ 

```
24: | | | | end if
```

```
25: | | | | if j = M then
```

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26: | | | | | | Place p to the left of C
27: | | | | end if
```

28:	end for
29:	end element ejection process
30:	end for
31:	end Process 1: insertion process
32:	begin Process 2: flushing process
33:	while there are non- $e$ elements in $C$ do
34:	Set $p \coloneqq e$ . Perform the element ejection process
35:	end while
36:	end Process 2: flushing process
37:	▶ Note: The elements to the left of C correspond to the $t = k + 1$ state of the
	BBS
38:	end M-carrier algorithm

**Example 4.2.** We apply the *M*-carrier algorithm (with M = 3) to  $\pi = 361425$ .

begin Process 1: insertion process begin Process 2: flushing process eeeeee eeeeee eeeeee 361425 eeeee6 3eeeee 4eeeee 125 $eee \leftarrow e$ e eeeeee eeeeee 3eeeee 61425 eeeee6e 34eeee 1eeeee 25eeee + - e ee eeeeee eeeeee 36eeee 1425 eeeee6e3 4eeeee 12eeee 5eeeee + - е eee eeeeee 3eeeee 16eeee 425 eeeee6e34 eeeeee 125eee eeeeee eeee eeeeee 36eeee 14eeee 25 eeeee6e34e 1eeeee 25eeee eeeeee + eeeee 6eeeee 34eeee 12eeee 5 eeeee6e34ee 12eeee 5eeeee eeeeee + eeeee6e34eee 125eee eeeeee eeeeee + eeeee6 3eeeee 4eeee 125eee eeeee6e34eee1 25eeee eeeeee eeeeee  $\leftarrow e$ end insertion process eeeee6e34eee125eeeee eeeeee eeeeee  $\leftarrow e$ eeeee6e34eee125 eeeeee eeeeee eeeeee end flushing process

**Proposition 4.3.** Performing the M-carrier algorithm (with M carriers) is equivalent to performing the 1-carrier algorithm M times. In particular, if  $\pi \in S_n$ , applying algorithm 4.1 to  $\pi$  yields the box-ball configuration of  $\pi$  at t = M.

*Proof.* Ejecting an element from a carrier  $c_i$  and then immediately inserting it into the next carrier  $c_{i+1}$  is equivalent to ejecting all the elements from  $c_i$ , forming a sequence and then inserting that sequence into  $c_{i+1}$ .

### 4.2 Infinite-carrier algorithm

We define the *infinite-carrier algorithm* to be the same as Algorithm 4.1, but with an infinite number of carriers, so an entry is always in some carrier at every step. (This is in contrast to the *M*-carrier algorithm, where an entry may be ejected to the left of the carriers.) Unfortunately, it's not always possible to obtain a soliton decomposition this way.

**Theorem 4.4.** Let *w* be a permutation and let  $\sigma_1, \sigma_2, \ldots, \sigma_\ell$  be the solitons of a box-ball system containing *w* as a configuration.

(1) In the infinite carrier algorithm, for each soliton  $\sigma_i$ , there exists a smallest positive number  $r_i$  such that, after inserting all the elements of w and  $r_i$  copies of e, the soliton  $\sigma_i$  is completely and solely contained in a carrier.

(2) Let  $s_1, s_2, ..., s_\ell$  be the lengths of the respective solitons. If  $gcd(s_i, s_j)$  divides  $r_i - r_j$  for all i and j, then there exists a unique number of e's (mod  $lcm\{s_1, s_2, ..., s_\ell\}$ ) such that the infinite carrier algorithm puts the solitons of a permutation in separate carriers (i.e., the infinite-carrier algorithm yields the box-ball soliton decomposition of w).

**Example 4.5.** Let  $\pi = 24513$ . The box-ball system containing  $\pi$  has solitons 135 and 24, which have lengths 3 and 2 respectively (with lcm $\{3,2\} = 6$ ). Since all the (pairwise) greatest common divisors of the soliton lengths are 1, there exists a unique number of *e*'s (mod 6) such that the infinite carrier algorithm puts the solitons of a permutation in separate carriers. When one completes the infinite-carrier algorithm, after all entries of  $\pi$  and 0 + 6k of *e*'s are inserted, the solitons of  $\pi$  are sorted into separate carriers:



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