

1. Let  $a_0 = 1, a_1 = 4$  and  $a_{n+2} = 8a_{n+1} - 16a_n$  if  $n \geq 0$ .

- (a) Use the recurrence relation to find an explicit formula for the ordinary generating function of the sequence  $\{a_n\}_{n \geq 0}$ .

**Solution:** From Supplementary Chapter 8 Exercise 26. Good for exam because no partial fraction computation is required. (If I want to change the numbers to p, q, r, I can follow Exercise 28.)

Below is copied from Bona 4th ed. Let  $A(x) = \sum_{k \geq 0} a_k x^k$ . Multiply both sides of the defining recurrence relation by  $x^{n+2}$  and sum over  $k \geq 0$  to get

$$\begin{aligned} A(x) - 4x - 1 &= 8x(A(x) - 1) - 16x^2 A(x), \\ A(x) &= \frac{1 - 4x}{1 - 8x + 16x^2} = \frac{-1 + 4x}{-1 + 8x - 16x^2} = \frac{1}{1 - 4x}. \end{aligned}$$

- (b) Use the previous part to compute an explicit formula for  $a_n$  for  $n \geq 0$ .

**Solution:** Therefore,  $a_n = 4^n$  for  $n \geq 0$ .

2. For  $n \geq 0$ , let  $p(n)$  denote the number of partitions of the integer  $n$ . Recall the fact (which you don't need to prove) that  $\sum_{n=0}^{\infty} p(n) x^n = \prod_{k=1}^{\infty} \frac{1}{1-x^k}$ .

Recall also that the ordinary generating function for the number of partitions of  $n$  for which no part is divisible by 3 is equal to the number of partitions of  $n$  for which no part appears more than twice,

$$\prod_{i \geq 1} \frac{1-x^{3i}}{1-x^i} = \prod_{i \geq 1} (1+x^i+x^{i^2}).$$

- (a) Let  $a_0 = 1$ , and let  $a_n$  be the number of partitions of  $n$  for which no part is divisible by 3 *and* no part appears more than twice.
- i. Write down all such partitions for  $n = 5$

**Solution:** There are three

- ii. Write down a formula (not as an infinite series) for the ordinary generating function for  $a_n$ . Briefly justify your formula (but you don't need to write a complete proof).

**Solution:**

$$\prod_{i \text{ does not divide } 3} (1+x^i+x^{i^2})$$

- iii. Does the coefficient for  $x^5$  for your generating function matches the number of partitions you wrote down in the first part?

(b) Let  $b_0 = 1$  and let  $b_n$  be the number of partitions of  $n$  for which no part is bigger than 3.

i. Write down all such partitions for  $n = 5$

**Solution:** There are five:  $(3,2)$ ,  $(3,1,1)$ ,  $(2,2,1)$ ,  $(2,1,1,1)$ ,  $(1,1,1,1,1)$ .

ii. Write down a formula (not as an infinite series) for the ordinary generating function for  $b_n$ . Briefly justify your formula (but you don't need to write a complete proof).

**Solution:**

$$\prod_{i \geq 1} \frac{1}{(1-x)(1-x^2)(1-x^3)}$$

iii. Does the coefficient for  $x^5$  for your generating function matches the number of partitions you wrote down in the first part?

(c) Is  $a_n$  and  $b_n$  the same sequence? Prove your answer.

3. (a) Let  $a_0 = 1$ ,  $a_1 = 1$ , and let

$$a_n = n a_{n-1} + n(n-1) a_{n-2} \quad \text{for } n \geq 2.$$

Find an explicit formula (as a function of  $x$ , not involving an infinite sum) for the exponential

generating function  $A(x) = \sum_{n=0}^{\infty} a_n \frac{x^n}{n!}$ .

**Solution:**

$$A - x - 1 = x(A - 1) + x^2 A$$

$$A(x) = \frac{1}{1 - x - x^2}$$

- (b) You have seen this function before as an *ordinary* generating function for a different sequence  $\{b_n\}_{n=0}^{\infty}$ . Give an explicit formula for  $b_n$ .

**Solution:**

See the “child walks up a stairway” problem in chapter 8 and the answer key in the back of the chapter.

- (c) Give a recurrence relation for the mystery sequence  $b_n$ .

**Solution:**  $b_n = b_{n-1} + b_{n-2}$

4. Recall that  $\binom{m}{0} := 1$ ,  $\binom{m}{1} = m$ , and  $\binom{m}{k} := \frac{m(m-1)\dots(m-k+1)}{k!}$ .

(a) Prove that

$$\frac{1}{\sqrt{1-4x}} = \sum_{n \geq 0} \binom{2n}{n} x^n.$$

**Solution:** From Exercise 27 Bona 4th ed (answer and computation is in book).

(b) Compute the power series of  $(1-x)^{-5}$  using the Binomial Theorem.

(c) Let  $a_0 = 1$  and let  $a_n$  be the number of weak compositions into 5 parts. Recall that the definition of weak compositions and a formula are in the first two pages of Section 5.1. Memorize or practice writing the proof for the formula.

(d) Give an explicit formula (as a function of  $x$ , without an infinite sum) of the ordinary generating function  $\sum_{n=0}^{\infty} a_n x^n$ .<sup>1</sup> Justify your answer.

**Solution:** The answer is  $(1-x)^{-5}$  because the coefficient for  $x^n$  from part (b) is equal to the formula for  $a_n$  in part (c).

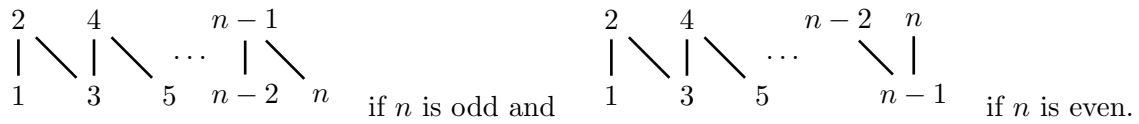
(e) For a positive integer  $m$ , compute the power series of  $(1-x)^{-m}$  using the Binomial Theorem. What is the ordinary generating function for the number of weak compositions into  $m$  parts?

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<sup>1</sup>Hint: Look at part (b).

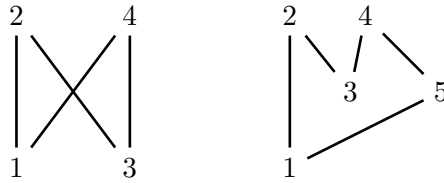
5. Submit the optional Problem 3 from [Week 13 Problems](#).

Let  $a_n$  be the number of antichains of the poset  $P_n$  whose Hasse diagram is



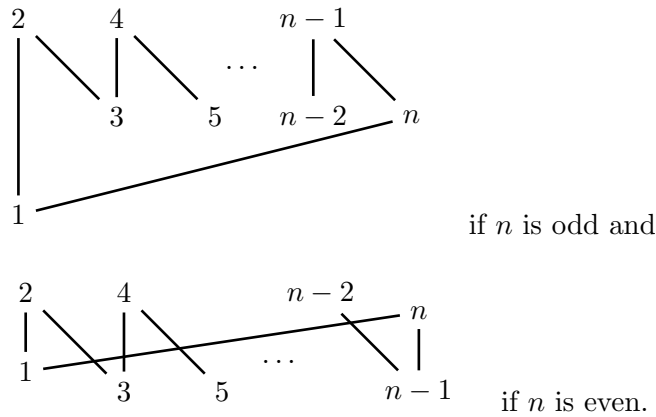
The recurrence relation for  $a_n$  is given in the answer key of the previous problem set.

Let  $P'_4$  and  $P'_5$  be posets whose Hasse diagrams are shown below.



Let  $J_4$  (resp.  $J_5$ ) be the set of all order filters of the poset  $P'_4$  (resp.  $P'_5$ ), and define a partial order by inclusion, that is,  $F_1 \leq F_2$  iff  $F_1 \subset F_2$ . Recall that there are 7 and 10 order filters (respectively) in  $J_4$  and  $J_5$ , including the empty set.

For  $n \geq 4$ , let  $b_n$  be the number of the order filters of the  $n$ -element poset  $P'_n$  whose Hasse diagram is



a.) **Prove** that, if  $n$  is even and at least 4, then

$$b_n = a_{n-3} + a_{n-1} \tag{1}$$

**Solution:**  
 We have proven that the number of antichains of a poset is equal to the number of order filters of the same poset, hence  $b_n$  is the number of antichains of  $P'_n$ . To prove

$$b_n = 2a_{n-3} + a_{n-2} \text{ for all even } n \geq 4,$$

suppose that  $n \geq 4$  is even, and let  $A$  be an antichain of  $P'_n$ .

First, suppose  $n \in A$ . Then  $1 \notin A$  and  $n-1 \notin A$  because both 1 and  $n-1$  are comparable to  $n$ . However, none of the numbers  $2, \dots, n-2$  is comparable to  $n$ , and there are  $a_{n-3}$  ways to pick an antichain of the subposet  $\{2, \dots, n-2\}$  of  $P_n$ .

Next, suppose  $n \notin A$ . If  $1 \in A$ , then  $2 \notin A$  and  $n \notin A$  because both 2 and  $n$  are comparable to 1. However, none of the numbers  $3, \dots, n-1$  are comparable to 1, and the number of options is equivalent to counting the number of antichains of the poset  $P_{n-3}$ , which is  $a_{n-3}$ . If  $1, n \notin A$ , then the elements that may go in  $A$  are  $2, 3, \dots, n-1$ , so the number of options is the same as counting the number of antichains of a poset isomorphic to the poset  $P_{n-2}$ , which is  $a_{n-2}$ .

b.) **Prove** that, if  $n$  is even, then  $b_n$  is a Lucas number. <sup>2</sup>

**Solution:** Let  $\ell_n$  be defined by  $\ell_2 = 3$ ,  $\ell_3 = 4$ , and  $\ell_n = 2a_{n-3} + a_{n-2}$  for  $n \geq 4$ . Then

$$\begin{aligned} \ell_{n+1} + \ell_n &= (2a_{n-2} + a_{n-1}) + (2a_{n-3} + a_{n-2}) \\ &= a_{n-2} + a_{n-2} + a_{n-1} + a_{n-3} + a_{n-3} + a_{n-2} \\ &= a_{n-2} + a_n + a_{n-3} + a_{n-1} \quad \text{since } a_n \text{ are the Fibonacci numbers} \\ &= a_{n-1} + a_{n-1} + a_n \\ &= 2a_{n-1} + a_n \\ &= \ell_{n+2}. \end{aligned}$$

Thus each  $\ell_n$  is a Lucas number. Since  $b_n = \ell_n$  whenever  $n$  is even, the statement follows.

c.) **Prove** that, if  $n$  is odd, then  $b_n = 2 a_{n-2}$ .

**Solution:**

<sup>2</sup> Hint: Use equation (1) and apply arithmetic.

$a_n = \text{antichains of } P$   
 $b_n = \text{order-filters of } P$   
 $n \text{ odd}$   
 $a_n = a_{n-1} + a_{n-2}$

Since there is a bijection from  $\{\text{order-filters of } P\} \rightarrow \{\text{antichains of } P\}$ , we can consider antichains of  $P$ .  
 There are two possible cases for any antichain of  $P$ :

**Case 1:  $n$  is in the antichain**  
 Any antichain of  $P$  that includes  $n$  cannot also include  $n-1$  or  $1$ , because  $n$  is comparable to both  $1$  and  $n-1$ . Thus, we form an antichain from a poset with the structure above with  $n-3$  elements, of which there are exactly  $a_{n-3}$  antichains.

**Case 2:  $n$  is not in the antichain**  
 If  $n$  is not in the antichain, then we consider antichains formed from the poset with the same structure as  $P$  with  $n-1$  elements. We have two possible sub-cases:

- Case A:  $1$  is in the antichain**  
 If  $1$  is in the antichain, we can't have  $2$  or  $n-1$  in the antichain because  $1$  is comparable to both  $2$  and  $n-1$ . Thus, we form an antichain from a poset with the structure above with  $n-4$  elements, of which there are exactly  $a_{n-4}$  antichains.
- Case B:  $1$  is not in the antichain**  
 If  $1$  is not in the antichain, then we form an antichain from a poset with the same structure above with  $n-2$  elements, of which there are exactly  $a_{n-2}$  antichains.

Combining all the cases, we have  $b_n = a_{n-2} + a_{n-3} + a_{n-4} = 2a_{n-2} \cdot \square$

**Extra credit**

Write a problem using techniques and concepts related to set partition, poset or generating function. Write a brief, correct solution key.

**Extra credit**

Describe an interesting theorem or idea from this class since the first test.